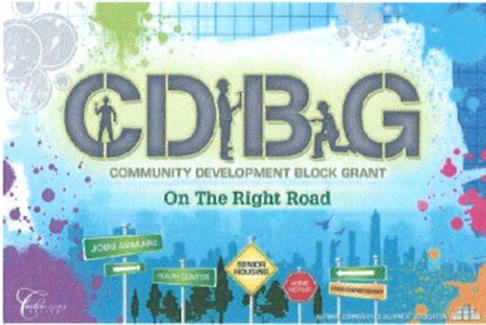


2011 ANNUAL ACTION PLAN FOR HOUSING AND COMMUNITY DEVELOPMENT CITY OF FORT SMITH, ARKANSAS



**REVIEW & COMMENT COPY
REVISED APRIL 12, 2011**

I. Executive Summary

A. INTRODUCTION

In 1994, the U.S. Department of Housing and Urban Development (HUD) issued new rules consolidating the planning, application, reporting and citizen participation processes for four formula grant programs: Community Development Block Grants (CDBG), Home Investment Partnerships (HOME), Emergency Shelter Grants (ESG) and Housing Opportunities for Persons with AIDS (HOPWA). The new single-planning process was intended to more comprehensively fulfill three basic goals: to provide decent housing, to provide a suitable living environment and to expand economic opportunities. It was termed the *Consolidated Plan for Housing and Community Development*.

According to HUD, the Consolidated Plan is designed to be a collaborative process whereby a community establishes a unified vision for housing and community development actions. It offers entitlements the opportunity to shape these housing and community development programs into effective, coordinated neighborhood and community development strategies. It also allows for strategic planning and citizen participation to occur in a comprehensive context, thereby reducing duplication of effort.

As the lead agency for the Consolidated Plan, the Community Development Department (CDD) hereby follows HUD's guidelines for citizen and community involvement. Furthermore, it is responsible for overseeing these citizen participation requirements, those that accompany the Consolidated Plan and the Community Development Block Grant (CDBG) and HOME Investment Partnership Program (HOME).

PURPOSE OF THE ANNUAL ACTION PLAN

The *2011 Fort Smith Annual Action Plan for Housing and Community Development* is the one-year planning document identifying the needs and respective resource investments in addressing the city's housing, homeless, non-homeless special needs populations, community development and economic development needs.

GOALS OF THE CONSOLIDATED PLAN

The goals of the Community Development Department are to provide decent housing, a suitable living environment and expanded economic opportunities for the city's low-and moderate-income residents. The CDD strives to accomplish these goals by maximizing and effectively utilizing all available funding resources to conduct housing and community development activities that will serve the economically disadvantaged residents of the city. By addressing need and creating opportunity at the individual and neighborhood levels, the CDD hopes to improve the quality of life for all residents of Fort Smith. These goals are further explained as follows:

- *Providing decent housing* means helping homeless persons obtain appropriate housing and assisting those at risk of homelessness; continue collaborating with homeless providers to supply a continuum of services; preserving the affordable

housing stock;; and increasing the supply of supportive, transitional, and permanent housing.

- *Improve the condition and availability of affordable housing over the five-year plan* by improving the condition of housing for low-income homeowners; increasing the viability for potential homeownership opportunities; increasing the number of newly constructed homes available on the affordable housing market in Fort Smith; support the increase of the housing supply available to low-income renters; and expanding the funding available for affordable housing programs.
- *Providing a suitable living environment* entails improving the safety and livability of neighborhoods; increasing access to quality facilities and services; and reducing the isolation of income groups within the area through de-concentration of low-income housing opportunities.
- *Expanding economic opportunities* involves creating jobs that are accessible to low- and moderate-income persons; making mortgage financing available for low- and moderate-income persons at reasonable rates; providing access to credit for development activities that promote long-term economic and social viability of the community; and empowering low-income persons to achieve self-sufficiency to reduce generational poverty in federally-assisted and public housing.

B. CITIZEN PARTICIPATION SUMMARY

The city will conduct at least two (2) public hearings to obtain citizens' views and to respond to proposals and questions. At least one will occur prior to development of the Draft Plan and will be intended to solicit public input regarding distinct issues, thereby aiding policy formation. Information about the time, location and subject of each hearing will be provided to citizens at least seven (7) calendar days in advance through adopted public notice and outreach procedures. Every effort will be made to ensure the public hearings are inclusive. Hearings will be held at convenient times and locations and in places where people most affected by proposed activities can attend.

The Director of Community Development will publish the Draft Consolidated Plan for Public Review in a manner that affords citizens, public agencies and other interested parties a reasonable opportunity to examine its contents and submit comments. A succinct summary of the Draft Plan will be published in a newspaper of general circulation at the beginning of the public comment period. The summary will describe the contents and purpose of the Consolidated Plan (including a summary of specific objectives) and include a list of the locations where copies of the entire proposed Consolidated Plan may be obtained or examined.

The City of Fort Smith's Community Development Department, as lead agency, will receive comments from citizens on its Draft Plan for a period not less than thirty (30) days prior to submission of the Consolidated Plan or Annual Action Plans to HUD. All

comments or views of citizens received in writing during the thirty (30) day comment period will be considered in preparing the final Consolidated Plan.

To the extent allowed by law, interested citizens and organizations shall be afforded reasonable and timely access to records covering the preparation of the Consolidated Plan, project evaluation and selection, HUD's comments on the Plan and annual performance reports. In addition, materials on formula grant programs covered by the Consolidated Plan, including activities undertaken in the previous five (5) years, will be made available to any member of the public who request information from the Community Development Department.

C. 2011 ANNUAL ACTION PLAN SUMMARY

The city of Fort Smith is required by the U.S. Department of Housing and Urban Development (HUD) to prepare a Consolidated Plan for Housing and Community Development every five years and an Annual Action Plan for Housing and Community Development each year. Timely completion of the Plan in a HUD-acceptable format helps ensure continued funding of housing and community development activities throughout the city. In 2010, the city of Fort Smith prepared its Five-Year Consolidated Plan, covering the program years 2011 – 2015. The city's 2011 – 2015 Consolidated Plan contains a housing market analysis, a housing homeless needs assessment, a community development needs assessment, and the five year strategic plan, and any other key components that will guide use of the city's CDBG and home resources for the next five years.

The following represents the 2011 Annual Action Plan for allocating the city's Community Development Block Grant (CDBG) and Home Investment Partnership Program (HOME) funds to address housing and community development needs. In order to make the document more informative for citizens and more useful for policy makers, the document describes actions and activities to be undertaken with these resources.

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II. ANNUAL ACTION PLAN DEVELOPMENT PROCESS

A. LEAD AGENCY AND ADMINISTERING AGENCIES

As the lead agency for the Consolidated Plan, the Fort Smith Community Development Department (CDD) followed the federal guidelines about public involvement, evaluation of quantitative and qualitative data, needs assessment, strategy development, priority setting, and the formulation of objectives. The Consolidated Plan for 2011 – 2015 was prepared in accordance with CFR Sections 91.100 through 91.230 of HUD's Consolidated Plan regulations, applicable to entitlement jurisdictions.

The CDD is responsible for overseeing these citizen participation requirements for the Community Development Block Grant (CDBG) and HOME Investment Partnership Program (HOME). Consequently, the CDD strongly encourages public participation and consultation with other organizations as an essential means of identifying community needs. The citizen participation process was formulated at the beginning of the five-year plan development process and is presented in the Citizen Participation Plan (CPP), Appendix A of this document.

The objectives of the CPP are to ensure that the citizens of Fort Smith, particularly persons of low- and moderate-income, persons living in slum and blight areas, units of local government, public housing agencies, and other interested parties, are provided with the opportunity to participate in the planning and preparation of the Consolidated Plan, including amendments to the Consolidated Plan and the Annual Performance Report.

B. AGENCY CONSULTATION ACTIVITIES

As part of the consolidated planning process, the lead agency, the CDD must consult with a wide variety of organizations in order to gain understanding of the housing and community development stage. This represents a collective effort from a broad array of entities in Fort Smith, ranging from advocacy groups for the disabled to economic development organizations, and city leaders. Private, non-profit and public organizations, including, persons interested in the CDBG and HOME programs, and persons associated with Continuum of Care organizations were contacted through several means, such as, e-mail correspondence, telephone calls and face-to-face interactions. These persons were solicited to discuss housing and community development needs in Fort Smith, including the ranking of those needs and activities that the CDD might consider in better addressing needs throughout the city. Further, individuals were asked to provide additional insight into prospective barriers and constraints to addressing housing and community development needs in Fort Smith.

C. EFFORTS TO ENHANCE CITIZEN INVOLVEMENT

The draft report for public review was announced to the public on October 1, 2010, which initiated a 30-day public review period. This draft report is currently available for download and printing from the CDD Website: www.fortsmithar.gov.

A public input meeting was held on Monday, August 30, 2010 at the Elm Grove Community Center to offer the public an additional opportunity to offer feedback on the Consolidated Plan. These meetings were advertised in the newspaper and interested persons and agencies were notified by email and press releases and display ads aided in the public relations campaign.

A public review meeting was held at the Elm Grove Community Center on October 13, 2010 at 5:30 PM.

Documentation of the advertisements, including press releases and media coverage of these events are presented in Appendix B of this document.

D. ACTIONS TAKEN TO ENHANCE THE INSTITUTIONAL STRUCTURE AND ITS COMMUNICATION

The City of Fort Smith will meet its responsibility to provide decent and affordable housing and will aid in the development of viable communities with suitable living environments and expanded economic and community development opportunities. This will be done with the help and support of a network of public institutions, nonprofit organizations, and private industries. The CDD takes additional initiative in instilling capacity and strong housing and community development through funding initiatives, outreach and training, and other capability building endeavors. By meeting with non-profit service providers, faith-based organizations, the Fort Smith Housing Authority, other government entities, and various organizations the City of Fort Smith ensures that the needs of the community are addressed.

The CDD will implement the housing and community development plan offered by the Consolidated Plan. The Department Director and the City administration provide oversight for the Department. The Community Development Advisory Committee provides direction in the selection of projects to be funded with federal community development funds. The advisory committee is made up of individuals who have been asked to assist in the selection process and bring a variety of private sector skills to the task, without connections to applicant agencies.

A collection of non-profit agencies work with the City to address a variety of social needs such as affordable housing, homelessness, special needs populations, childcare, and education. These agencies work under their own charters, providing the services that meet their own agenda. Recently programs such as the Area Agency on Aging of Western Arkansas, the Esther house and the Next Step Day Room have received and may continue to receive grant allocations from the City. These programs provide housing and support services for homeless individuals, homeless families and the elderly. Programs like the Community Development Housing Assistance Program, maintain the housing stock in Fort Smith by mitigating lead-based paint hazards. City involvement with these organizations includes attendance at coalition meetings and the funding of projects that are eligible for CDBG and HOME funds.

Private industry participation in housing and community development activities includes the construction of new multi-family developments, lenders that service loans for first time homebuyers, home repair contractors, and other companies with a stake in the future of the city. These companies work with non-profit organizations working in community development efforts, providing the construction and financing expertise needed for completion of the projects. Local companies have also worked with non-profit agencies through donations of time and money.

The City serves as the conduit to enable for-profits and faith-based organizations to stay abreast of the training and conferences being offered that will enhance the delivery of their services. In addition, staff members are available to assist fledgling non-profits to become chartered agencies. The City will continue to strengthen existing relationships and build

II. Annual Action Plan Development Process

new relationships with private and public organizations, social service agencies, neighborhood associations, and the faith-based community, and attend meetings of other organizations promoting community development.

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III. ALLOCATING HOUSING AND COMMUNITY DEVELOPMENT RESOURCES

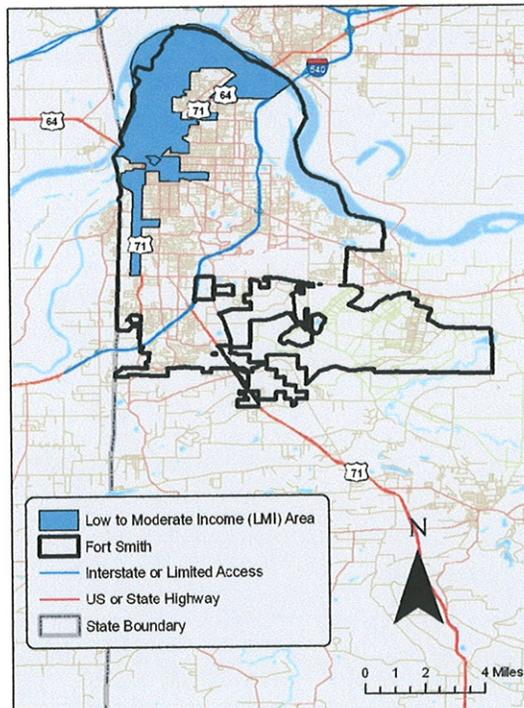
A. OVERVIEW OF AVAILABLE RESOURCES

Housing and community development resources are expected to be available to the city of Fort Smith through the US Department of Housing and Urban Development (HUD) under federal block grant programs. These block grants include the Community Development Block Grant (CDBG) Program and HOME Investment Partnership Program (HOME).

B. GEOGRAPHIC DISTRIBUTION OF HUD RESOURCES

Formula grant funds from the CDBG and HOME programs may be directed to their highest and best use first, within each set of program guidelines, given the funding of all housing and community development programs throughout Fort Smith. The geographic areas that will be assisted during this grant year include low- to moderate-income (LMI) Census Tract areas and where housing distress, substandard housing, income issues and homelessness are prevalent, in addition to slum and blight on a spot basis, as presented in Map III.1.

Map III.1
Low- to Moderate-Income Areas
Fort Smith Arkansas
Fort Smith Community Development Department



B.1. INVESTMENTS OF HUD RESOURCES FROM 2006 THROUGH 2010

Over the past few years, substantial investment of CDBG and HOME funds has been directed to specific projects city wide. Funding has been provided to a wide variety of community groups in Fort Smith including;

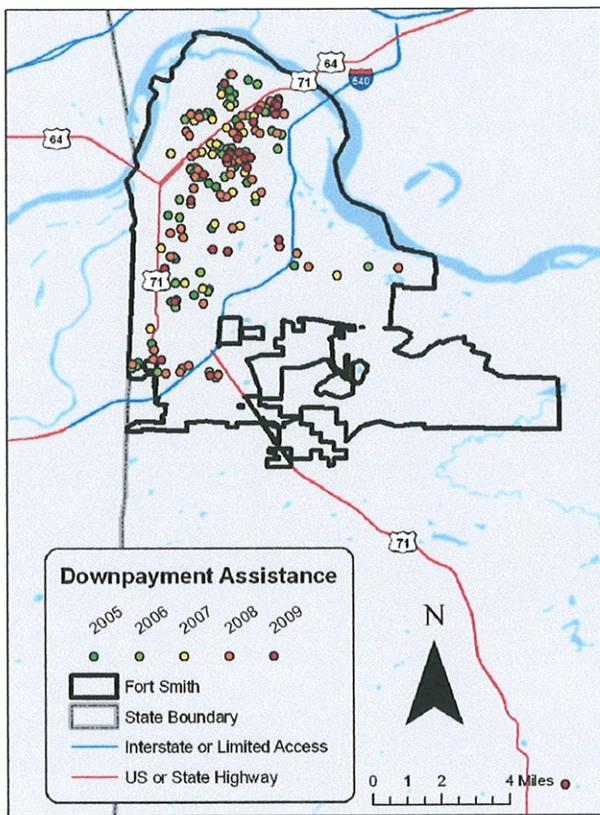
- Lincoln Child Care
- Sebastian Country Girls Softball League
- Crisis Intervention Center
- Fort Smith Boys and Girls Club
- Gregory Kistler Treatment Center for Children
- Children's Emergency Shelter
- Fountain of Youth Adult Day Care, Inc.
- Hannah House
- Girls Shelter
- Lincoln Youth Service Center
- The First Tee, Inc.
- Heart to Heart Pregnancy Support Center
- Alzheimer's Association
- Community Dental Clinic
- Community Services Clearinghouse
- Next Step Day Room
- River Valley Regional Food Bank

These agencies traditionally serve the low- to moderate-income communities, elderly citizens and citizens with disabilities. Fort Smith continues to clearly demonstrate its concern for special interest groups in the community.

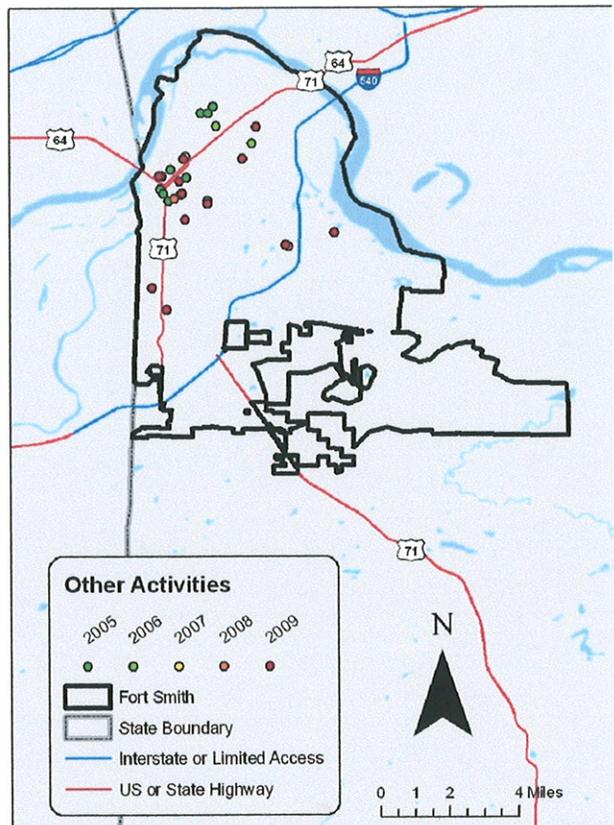
III. Allocating Fort Smith Housing and Community Development Resources

Additionally, many CDBG and HOME funded programs have successfully aided the community over the last few years. Map III.2, shows the geographic location of individuals and families who participated in the Arkansas Down Payment Assistance program. Grants from this program may be used for down payment assistance toward the purchase of single family housing by eligible families. Many of the homes bought are within the LMI census income tracts. Map III.3 shows the location of other CDBG and HOME funded activities.

Map III.2
HOME Funded Down Payment Assistance
Fort Smith, Arkansas
Fort Smith Community Development Department



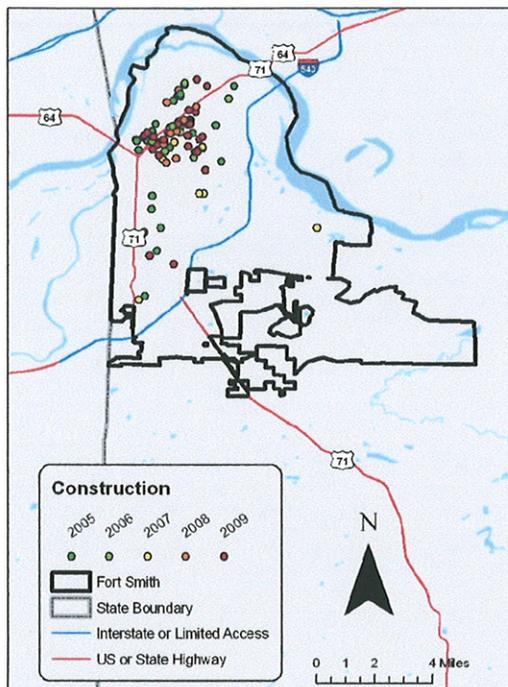
Map III.3
Other CDBG Activities
Fort Smith, Arkansas
Fort Smith Community Development Department



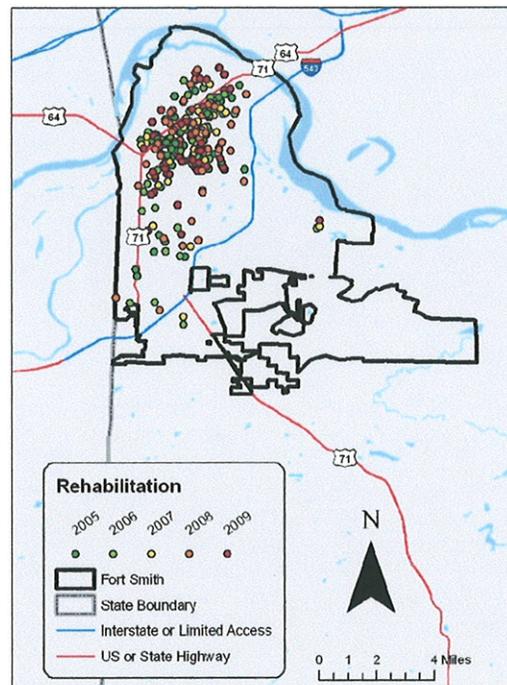
III. Allocating Fort Smith Housing and Community Development Resources

Using HOME funds many homes have been constructed or rehabilitated in Fort Smith. Map III.4 shows the location of newly constructed homes using HOME funds, while Map III.5 shows the location of rehabilitated homes using CDBG funds. Again, most of the construction and rehabilitation occurred within or near the LMI Census Tracts.

Map III.4
HOME Funded Housing Construction
Fort Smith, Arkansas
Fort Smith Community Development Department



Map III.5
CDBG Funded Rehabilitated Homes
Fort Smith, Arkansas
Fort Smith Community Development Department



Still, the housing and community development needs citywide exceed the available resources to address those needs. Therefore, it is necessary to prioritize needs by type of activity and geography in order to ensure the greatest impact with limited resources. Diversity across the city means that different areas have different housing and community development needs that are best addressed through different types of investment activities.

C. ANTICIPATED USE OF RESOURCES IN 2011

C.1 CDBG RESOURCES TO BE APPLIED IN 2011

The CDD expects to address a set of housing and community development demands on housing and community development resources over the next five year plan, including several in the current 2011 Annual Action Plan. The following is a general guideline of the anticipated allocation of CDBG resources:

Homelessness		15%
Public Service		15%
Community Development		47.5%
Housing Assistance	80%	
Other Community Development Projects	20%	
Administration		20%
Unprogrammed		2.5%

C.2 HOME RESOURCES TO BE APPLIED IN 2011

The CDD expects to address a set of housing and community development demands on housing and community development resources over the next five year plan, including several in the current 2011 Annual Action Plan. The following is a general guideline of the anticipated allocation of HOME resources:

Community Housing Development Organization Set-Aside	15%
HOME Projects	~75%
Affordable Housing Projects	
HOME Administration	10%

D. OBSTACLES IN MEETING UNDERSERVED NEEDS

Resources available in addressing the housing and community development need in Fort Smith are insufficient. Fort Smith has eight low- to moderate-income census tracts to address and insufficient funding to address all underserved needs.

IV. HOUSING AND COMMUNITY DEVELOPMENT STRATEGIES

A. 2011-2015 HOUSING AND COMMUNITY DEVELOPMENT PLAN SUMMARY

The following list presents the overriding objectives and strategies of the City of Fort Smith, Arkansas, for its Five-Year Consolidated Plan for Housing and Community Development, including selected performance criteria associated with each strategy and goal. Investment strategies will emphasize benefits to the City's low- to moderate-income areas.

FIVE-YEAR HOUSING AND COMMUNITY DEVELOPMENT OBJECTIVES AND STRATEGIES

The following list presents the overriding objectives and strategies of the City of Fort Smith, Arkansas, for its Five-Year Consolidated Plan for Housing and Community Development, including selected performance criteria associated with each strategy and goal. Investment strategies will emphasize benefits to the City's low- to moderate-income areas.

FIVE-YEAR HOUSING AND COMMUNITY DEVELOPMENT OBJECTIVES AND STRATEGIES

Affordable Housing

Goal: Improve the condition and availability of affordable housing over a 5 year period.

Objective 1: improve the condition of housing for low-income homeowners.

Strategy 1.1: Increase funding for housing rehabilitation activities.

Performance Goal: Allocate 80% of the 47.5% funding in Community Development category to improve existing owner-occupied housing units.

Strategy 1.2: Provide emergency repairs to homeowners with urgent repair needs.

Performance Goal: 100 owner-occupied housing units

Strategy 1.3: Provide funding for rehabilitation or reconstruction projects for low-income homeowners.

Performance Goal: 30 housing rehabs and 5 reconstruction units.

Strategy 1.4: Work with non-profit and faith-based organizations to create a volunteer home repair program.

Performance Goal: 150 owner-occupied housing units.

Objective 2: Increase the viability for potential homeownership opportunities.

Strategy 2.1: Expand homeownership classes and credit repair programs to accommodate all potential first-time homebuyers and the wider community looking to improve their ability to qualify for home mortgages.

Performance Goal: 500 households assisted.

Strategy 2.2: Continue to provide downpayment and principal reduction assistance to low-income homebuyers.

Performance Goal: 100 households assisted.

Objective 3: Increase the number of newly constructed homes available on the affordable housing market in Fort Smith.

Strategy 3.1: continue to provide replacement housing where feasible.

Performance Goal: 25 housing units.

Performance Goal: 100 households assisted.

Objective 3: Increase the number of newly constructed homes available on the affordable housing market in Fort Smith.

Strategy 3.1: continue to provide replacement housing where feasible.

Performance Goal: 25 housing units.

Strategy 3.2: Utilize vacant lots to increase housing availability in low-income communities.

Performance Goal: Continue to assist non-profits when vacant lots are identified.

Objective 4: Expand funding availability for affordable housing program.

Strategy 4.1: Investigate new funding opportunities and potential financial partnerships that could be utilized to leverage federal funds and provide more affordable housing.

Performance Goal: Work with partners to develop special programs to address housing needs.

Strategy 4.2: Provide funding for homeownership activities from which CHDO's can make affordable houses available to low-income residents.

Performance Goal: 10 housing units.

Homelessness

Goal: Facilitate an expansion of housing and services offered to homeless families and individuals in Fort Smith.

Objective 1: Support the consolidation of homeless providers and services into a unified campus setting.

Strategy 1.1: Explore the use of Section 108 loan and other funding sources.

Performance Goal: Allocate CDBG Homeless Category funding to pay secured Section 108 loan payments.

Strategy 1.2: Attend meetings, conference, seminars, and outreach activities that support homeless efforts.

Performance Goal: Support at least one outreach effort and attend at least 5 meetings, one seminar, and one conference.

Strategy 1.3: Provide technical assistance to homeless provider as needed.

Performance Goal: Community Development staff should be made available to provide technical assistance to all homeless service providers.

Objective 2: Expand emergency shelter facilities serving homeless families and individuals.

Strategy 2.1: Provide technical assistance to support non-profit efforts in seeking private funding sources.

Performance Goal: Assist all organizations.

Objective 3: Support transitional housing opportunities for homeless.

Strategy: 3.1: Working with non-profit organizations to develop transitional housing projects, to be funded through the Supportive Housing Program and/or private fundraising efforts.

Performance Goal: Add 5 units to the transitional housing supply.

Special Needs

Goal: Evaluate upcoming needs related to non-homeless special needs populations:

Strategies:

1. Provide funding for organizations that deliver service for the victims of domestic violence, which may include shelter, counseling, and job training services.
2. Provide funding for organizations that deliver health services to persons with mental health and other chronic illnesses, including case management, referral, homeless shelter, and counseling.
3. Provide funding for organizations that deliver assistance to persons with disabilities, including job training and housing assistance.
4. Provide funding for a wheelchair ramp program to address mobility issues for physically disabled.
5. Expand access to substance abuse treatment and other services that assist addicts in their recovery process.

Non-Housing Community Development

Goal: Improve living conditions in Fort Smith by addressing non-housing community development needs.

Objective 1: Address community needs by targeting a public facilities need.

Strategy: 1.1: Assist non-profits that traditionally work with low-income citizens.

Performance Goal: Fund two projects.

Objective 2: Address community needs through community-based public service programs.

Strategy 2.1: Deliver service to low-income citizens of Fort Smith

Performance Goal: Fund a minimum of 15 programs directed toward low-income citizens.

Strategy 2.2: Provide funding to deliver services for disabled.

Performance Goal: Fund two projects to improve accessibility.

Anti-Poverty Strategy

Goal: Reduce the size of the impoverished population in Fort Smith

Objective 1: Increase childcare and educational opportunities for children from low-income families.

Strategy: Provide support to non-profit agencies that deliver childcare, headstart, and after school services to low-income households.

Objective 2: Expand affordable housing opportunities.

Strategies:

1. Continue working with developers to identify opportunities for the use of Low Income Housing Tax Credits to build affordable housing developments.
2. Work with Fort Smith Housing Authority, Lend-A-Hand, and CSCDC, Inc. to identify homeownership opportunities through subsidized funds.

Institutional Structure

Goal: identify and address gaps in the institutional structure for the implementation of the housing and community development plan.

Strategies:

1. Work with non-profit organizations to address community needs and provide support to federal and non-federal funding initiatives.
2. Work with private industry to address important issues that hamper housing and community development efforts.
3. Continue to identify opportunities to create private/public partnerships for project finance and development to leverage federal funds.
4. Create networking opportunities through a housing roundtable that provides opportunities for City staff to interact with all sectors of the housing industry.

Coordination

Goal: Improve coordination efforts between the City and other agencies and organizations committed to the improvement of housing and community development services in Fort Smith

Strategies:

1. Expand participation with various agencies and organization in order to implement the Consolidated Strategy Plan.
2. Initiate a housing roundtable that brings together participants from all sectors of the housing industry, including non-profit and for-profit builders, financial institutions, community activists, appraisers, and insurance

representative, to discuss relevant topics and provide an opportunity for participants to network within the industry.

B. ANALYSIS OF IMPEDIMENTS TO FAIR HOUSING CHOICE

In exchange for federal funds, the City of Fort Smith is required to submit to the U.S. Department of Housing and Urban Development (HUD) certification that it is affirmatively furthering fair housing. This certification has three elements and requires that the City:

1. Complete an Analysis of Impediments to Fair Housing Choice (AI);
2. Take actions to overcome the effects of any impediments identified through the analysis; and
3. Maintain records reflecting the actions taken in response to the analysis.

HUD describes impediments to fair housing choice in terms of their applicability to local, state and federal law. In the federal Fair Housing Act, impediments are defined as:

- Any actions, omissions or decisions taken because of race, color, religion, sex, national origin, familial status, and mental or physical disability which restrict housing choices or the availability of housing choice for these protected classes.
- Any actions, omissions or decisions which have the effect of restricting housing choices or the availability of housing choice on the protected classes previously listed.

The AI process involves a thorough examination of a variety of sources related to housing, affirmatively furthering fair housing, the fair housing delivery system and housing transactions, particularly for persons who are protected under fair housing law. AI sources include census data, employment and income information, home mortgage application data, federal and state fair housing complaint information, surveys of housing industry experts and stakeholders, and related information found in the public domain.

An AI also includes an active and involved public input and review process via direct contact with stakeholders, a public forum to collect input from citizens and interested parties, distribution of draft reports for citizen review and a formal presentation of findings and actions to consider implementing in order to overcome the identified impediments.

B.1. FAIR HOUSING CERTIFICATION

In accordance with the applicable statutes and regulations governing the consolidated plan, the CDD certifies that it will affirmatively further fair housing. This means that the CDD has conducted an AI within the city, will take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records

reflecting that analysis and actions in this regard. A summary of the most recent AI is noted below.

B.2. A SUMMARY OF THE 2010 ANALYSIS OF IMPEDIMENTS TO FAIR HOUSING CHOICE

Socio-Economic Context

The population in Fort Smith increased from 80,268 to 85,544 or by 6.6 percent between 2000 and 2009. During this time period, the largest increase in an age cohort group in Sebastian County was seen in those aged 55 to 64; this group increased by 3,415 during this time. The population of racial and ethnic minorities in the county also increased, with the largest growth seen in the Native Hawaiian/Pacific Islander population at 66.6 percent and the American Indian population at 30.9 percent. The Hispanic population grew extremely fast, with the growth rate exceeding 90.0 percent over this time period. Minority racial and ethnic concentrations were somewhat concentrated in certain parts of Fort Smith. At the time of the 2000 census, the city had a disability rate of 23.3 percent, slightly higher than the 19.0 percent national rate at that time. The disabled population was concentrated in one census tract in the city.

The labor force in Fort Smith, defined as people either working or looking for work, rose from around 38,500 to 42,359 between 1990 and 2009. As a result of the increasing labor force, the unemployment rate increased dramatically, and in 2009 Fort Smith's unemployment rate stood at 7.7 percent. Average earnings per job in Sebastian County have been lagging over recent years, with this value standing at \$43,596 in 2008. In Fort Smith, the poverty rate in 2000 was 15.8 percent with 12,409 persons considered to be living in poverty, and this group was slightly concentrated in certain areas of the city.

The number of housing units in Sebastian County increased by 9.1 percent between 2000 and 2008. Of the 35,353 housing units reported in the 2000 census in Fort Smith, about 68.4 percent were single-family units. An additional 19.3 percent were apartments and 5.9 percent were duplexes. A total of 32,351 units were occupied housing units, and, of these, 18,240 percent were owner-occupied and 14,111 percent were renter-occupied. At the time that the 2000 census was taken, 2.8 percent of households were overcrowded and another 2.4 percent of units were severely overcrowded. In Fort Smith, 422 households were lacking complete kitchen facilities and 297 were lacking complete plumbing facilities. Additionally, 13.4 percent of households had a cost burden and 9.9 percent of households had a severe cost burden in 2000. Assisted housing projects were mostly located in the northern portion of the city and two projects were set to expire in 2010.

Lending Practices

Home Mortgage Disclosure Act (HMDA) data were used to analyze differences in denial rates in the city by race, ethnicity, income and geographic area. Evaluated home

purchase loan applications from 2004 through 2008 showed that there were 6,190 loan originations and 1,419 loan denials, for an average five-year loan denial rate of 18.6 percent. These HMDA data also showed that American Indian, black and Hispanic applicants experienced higher rates of loan denials than white applicants, even after correcting for income. Further, some geographic areas of the city had significantly higher denial rates exceeding 55.0 percent, including areas with high concentrations of minority populations. Analysis of high interest rate loans showed that minority populations also received a disproportionate share of these lower quality loan products.

Evaluation of the Fair Housing Profile

A review of national fair housing studies revealed that despite efforts to curb fair housing discrimination in the U.S., problems still exist in terms of discrimination against racial and ethnic minorities, discrimination against persons with disabilities and residential segregation resulting from some current fair housing efforts. Statewide fair housing studies and cases demonstrated issues of discrimination based on race, familial status and sex.

Fair housing complaint data was collected from HUD and the Arkansas Fair Housing Commission. Data from these sources showed that more than 50 complaints were filed in Fort Smith from 1999 through March 2010. The most common bases for complaints were race and disability and the most prevalent issue was discriminatory terms and conditions in the rental market.

A fair housing survey regarding the state of fair housing throughout Fort Smith showed that many respondents had concerns about fair housing in the city and that they saw barriers to affirmatively furthering fair housing. Some respondents also found fair housing laws difficult to understand and noted that additional outreach and education efforts regarding fair housing are needed in Fort Smith.

IDENTIFIED IMPEDIMENTS TO FAIR HOUSING CHOICE

The 2010 Analysis of Impediments for the City of Fort Smith uncovered several issues that can be considered barriers to affirmatively furthering fair housing and, consequently, impediments to fair housing choice. These issues are as follows:

1. Historically, insufficient system capacity has resulted in:
 - A. Inadequate outreach and education efforts that have led to:
 - i. Insufficient community awareness of fair housing;
 - ii. Insufficient understanding of what constitutes affirmatively furthering fair housing; and
 - iii. Inadequate understanding of the complaint process;
 - B. Ineffective processing and resolution of fair housing complaints.
2. Rental markets in the city appear to demonstrate discriminatory actions by housing providers including:
 - A. Failure to make reasonable accommodation or modification,

- B. Discriminatory terms, conditions, privileges, services, or facilities.
- 3. Disproportionately high home purchase loan denial rates exist for selected racial and ethnic minorities.
- 4. Home purchase loan denial rates are disproportionately high in lower-income areas.
- 5. Results from the fair housing survey showed that some respondents still believe that that land-use and development practices may not be in the spirit of affirmatively furthering fair housing.

SUGGESTED ACTIONS TO CONSIDER

In response to these listed impediments, the City of Fort Smith should consider taking the following actions:

1. Consider initiating a Fair Housing Committee within the Arkansas Community Development Association for efficient use of fair housing resources.
 - A. Contribute resources to central pool to assist with funding fair housing activities.
 - B. Consider additional partners to include in the Arkansas Community Development Association.
2. Increase knowledge and understanding of fair housing and affirmatively furthering fair housing through the following outreach and education efforts:
 - A. Offer meeting space and set up educational schedule for both consumers and providers of housing to be carried out by the Arkansas Fair Housing Commission (AFHC),
 - i. Assist in coordinating local delivery of educational services by the AFHC to local renters,
 - ii. Assist in coordinating local delivery of professional training services by AFHC to landlords, program managers, other rental housing providers,
 - B. Prominently display AFHC posters, flyers, and fair housing educational printed materials,
 - C. Distribute printed materials from the AFHC that present information regarding:
 - i. Definitions of reasonable accommodation and modification,
 - ii. Examples of discriminatory terms and conditions in rental markets,
 - iii. Differences between affirmatively furthering fair housing, affordable housing production and preservation, and landlord/tenant rights and responsibilities,
 - D. Consider updating the Fort Smith Fair Housing Resolution to be consistent with current state and federal fair housing laws and enhance the accessibility and awareness of this resolution,
 - E. Create improved referral system by distributing information about AFHC including how to file a complaint,
 - F. Create fair housing outreach e-mail distribution list for fair housing materials that might be distributed quarterly to all those who may be interested in fair housing,
 - G. Request that the AFHC establish its own Fair Housing Hotline for individuals to contact the AFHC and obtain immediate response to fair housing questions or concerns and also enhance the visibility of the City's existing fair housing hotline,

- H. Request technical support from the state's Little Rock HUD office for outreach and education activities that might be targeted to racial and ethnic minority consumers of housing.
3. Establish baseline of the actual level and types of discrimination occurring in the community through audit testing activities,
 - A. Ask the AFHC to conduct, or conduct separately, a small sample of fair housing audit tests and record findings; this will again be done in five years to compare results,
 - i. For the City of Fort Smith, this is to include race and disability testing initially,
 - B. Request that the AFHC track complaint data more closely and use complaint data to compare year to year changes in fair housing activities,
 - i. While more complaints are likely to be filed if educational efforts are successful, the goal of this action is to decrease the *percentage* of complaints that are found to be without cause and increase the percentage of those that are amicably reconciled. An additional goal is the decrease of the number of persons who abandon the complaint process without resolution.
4. Coordinate renter, homebuyer and homeowner credit trainings with local bankers and Realtors,
 - A. Enhance understanding of credit, what leads to poor credit and the attributes of predatory lending,
 - B. Enhance the understanding of poor real estate business practices, such as steering, redlining, and blockbusting.
5. More broadly inform the public of recent land use changes to exclusionary zoning and land use policies,
 - A. Consider how the public currently perceives zoning and land use policies,
 - B. Determine the best way to improve the public's understanding of zoning and land use in the city.
6. Form local fair housing workgroup to meet periodically and address fair housing issues in the City. This group should be comprised of interested parties such as bankers, Realtors, property managers, fair housing advocates and representatives of the City.
 - A. Create and maintain database of contact information for this group and establish fair housing outreach e-mail distribution list.

C. BARRIERS TO AFFORDABLE HOUSING

Currently, there are numerous barriers to affordable housing within Fort Smith, which include:

- Population growth;
- Lack of available funding, including competition for tax credits within the state;
- Elevated land prices;
- Lack of available land;
- Inflated housing market;
- Increasing cost of building materials;

IV. Housing and Community Development Strategies

- Unwillingness of developers to construct affordable housing due to profit reasons;
- Credit problems for housing buyers, i.e. bankruptcies, high debt-to-income ratio;
- Down payment and closing costs expenses associated with purchasing a home.

The 2010 Housing and Community Development Needs Survey was conducted as part of the process of evaluating housing needs in Fort Smith. The survey provided respondents with a list of a number of possible barriers to affordable housing and asked participants to select any barriers that they felt existed in Fort Smith. A total of 152 responses were received from stakeholders throughout the city. The results are presented in Table IV.1, on the next page.

NIMBYism was the most frequently cited barrier to affordable housing, with 92 observations. This phrase refers to a “Not in My Backyard” mentality that resists construction of projects like public or supportive housing in neighborhoods and communities.

Sixty people believe that the cost of land is a significant barrier to affordable housing. Other frequently sighted barriers to affordable housing include a lack of available and/or affordable land, the cost of materials, the condition of rental housing, the cost of labor, construction fees, and the permitting process.

Many of the barriers noted refer to the costs associated with building additional housing units. Labor, materials, construction fees, land, and permitting fees all affect the amount of affordable housing constructed. High building costs and fees decrease the amount of affordable housing available by limiting the feasibility of new construction.

D. MINORITY CONCENTRATIONS

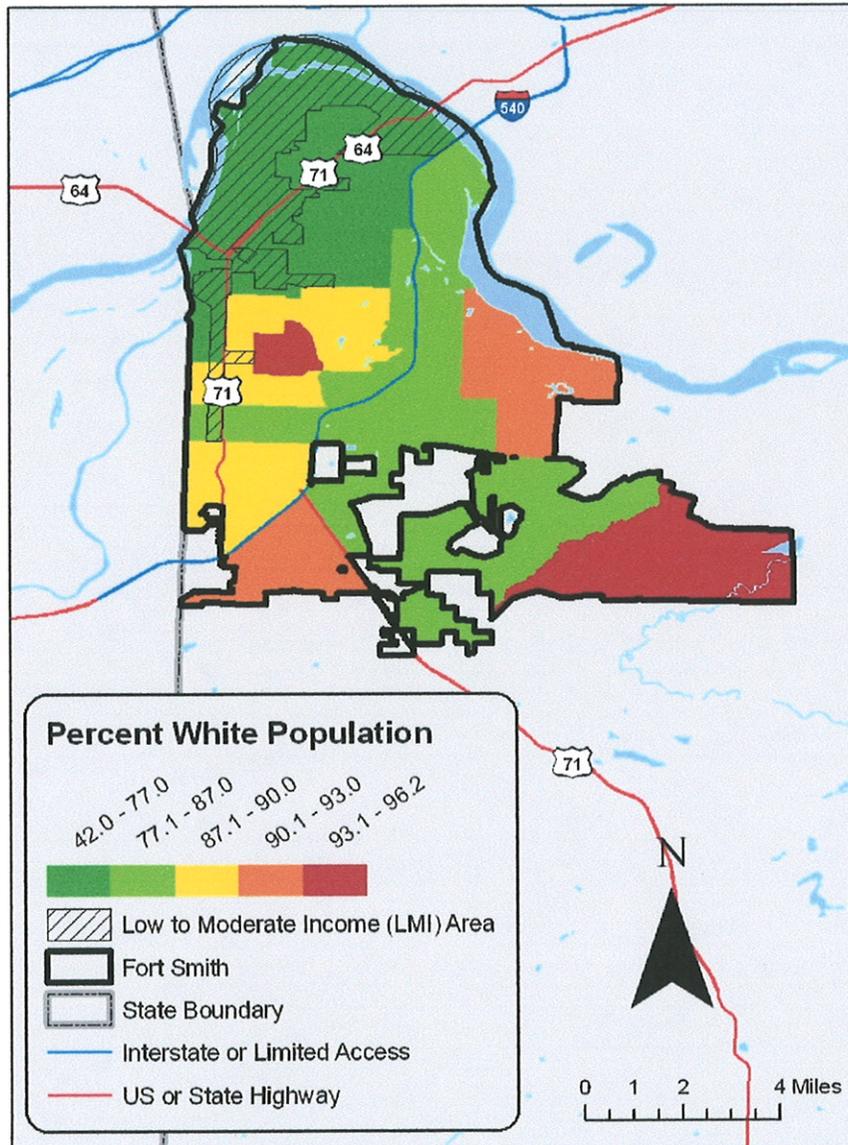
While current information about detailed geographic distribution of population by race or ethnicity is not readily available, data from the 2000 census revealed that the geographic distribution of these racial and ethnic minorities was not even in Fort Smith. An analysis of racial distribution was conducted by calculating the percentage share of total population within each census tract of the particular racial or ethnic group. That

Barriers	Observations
NIMBYism (Not In My Back Yard)	92
Cost of land or lot	60
Lack of available and/or affordable land	60
Cost of materials	56
Condition of rental housing	55
Cost of labor	39
Construction fees	35
Permitting process	30
Lack of nearby services	26
Lack of housing quality standards	24
Permitting fees	22
Other zoning	20
Lack of other infrastructure	20
Lack of water/sewer systems	19
Other building codes	18
Impact fees	16
Energy codes	12
Lack of qualified builders	9
Lack of qualified contractors	9
Lot size	9
Density	8
Total	639

share was then plotted on a geographic map. HUD defines a population as having a disproportionate share when a portion of a population is more than 10 percentage points higher than the jurisdiction average.

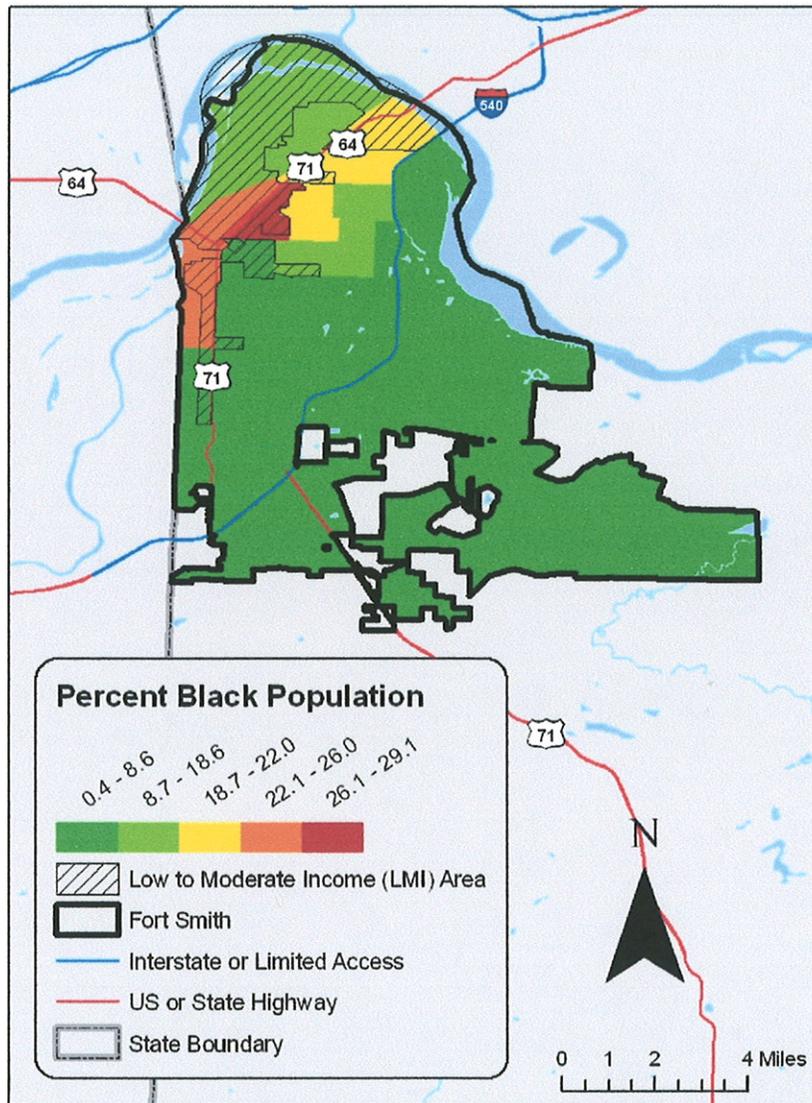
For example, Map IV.1, on the next page, shows the concentration of the white population in the city. At the time of the 2000 census 77.0 percent of the population in the city was white. Therefore, based on HUD's definition, any area that had a white population ten percentage points or more higher at that time had a disproportionate share of the white population. This map shows that the white population was concentrated in several census tracts throughout the City of Fort Smith, shown in yellow, orange and red.

Map IV.1
Percent White Population by Census Tract
City of Fort Smith
2000 Census Data



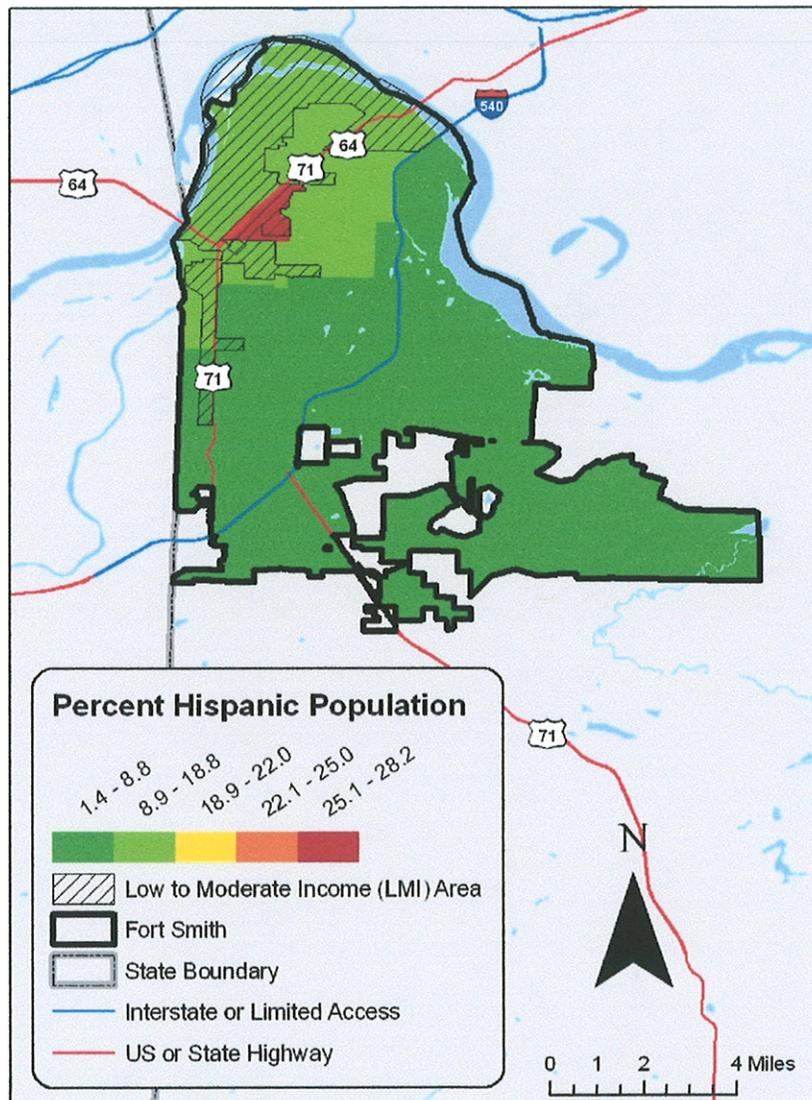
Map IV.2 shows the concentration of the black population in the city by census tract. There were four census tracts that had a disproportionate share of black populations located in the northwest part of the city. These tracts are shown in yellow, orange and red.

Map IV.2
Percent Black Population by Census Tract
City of Fort Smith
2000 Census Data



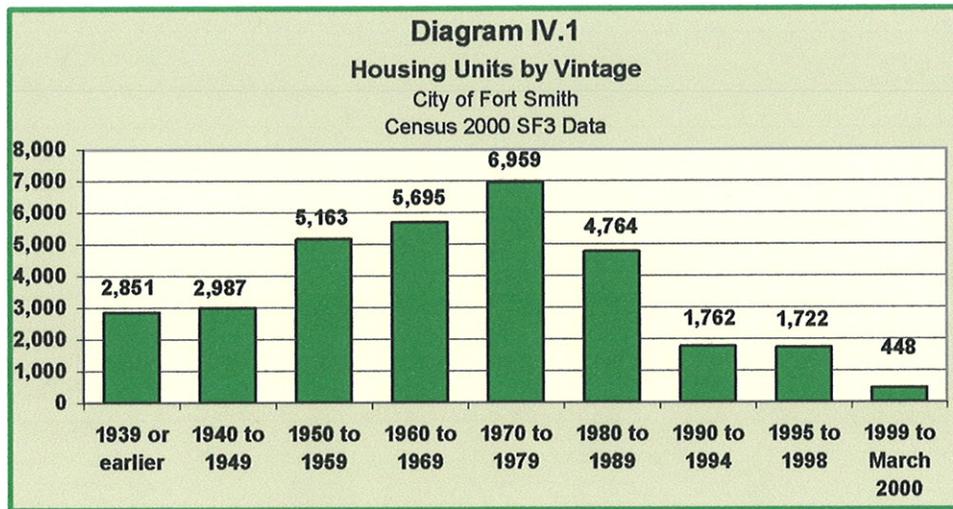
Map IV.3 presents the geographic concentration of another minority population in the city: Hispanic persons. There was one census tract with a disproportionate share of Hispanic population, located in the northwest part of the city, as seen in red.

Map IV.3
Percent Hispanic Population by Census Tract
City of Fort Smith
2000 Census Data



E. LEAD-BASED PAINT

According to the 2000 census data, homes built before 1980 comprise 73.1 percent of the homes in Fort Smith as seen in Diagram IV.1. Homes built before 1980 are more likely to contain lead-based paint hazards.



HUD's estimates of lead contamination rates for homes built prior to 1980 were applied to the total number of units shown above. Table IV.2, on the following page, presents the total number of housing units estimated to have lead-based paint risks and shows that a significant number of housing units in the city of Fort Smith were at risk of lead-based paint contamination, a total of 16,931. The majority of these homes were owner-occupied rather than renter-occupied.

Year Built	Units with Lead Based Paint Risk		
	Owner	Renter	Total
1939 or earlier	1,644	922	2,566
1940 to 1949	1,475	914	2,390
1950 to 1959	2,728	1,402	4,130
1960 to 1969	2,003	1,528	3,531
1970 to 1979	2,088	2,227	4,315
Total	9,938	6,994	16,931

Table IV.3, on the next page, presents 2009 data regarding the number of households at risk of lead-based paint hazards broken down by tenure and also by presence of children. Owner-occupied households showed 1,562 units with young children at risk of lead-based paint exposure. In total, roughly 3,308 households showed the capacity to pose lead-based paint health risks for children.

Table IV.3			
Households At Risk to Lead Based Paint Hazards by Year Structure Built by Presence of Young Children by Tenure			
Sebastian County 2009 HUD CHAS Data			
Year Built	Have Young Children		
	Yes	No	Total
Owner			
1939-	243	1,773	2,016
1940 to 1959	528	3,948	4,476
1960 to 1979	791	5,134	5,924
Total	1,562	10,855	12,416
Renter			
1939-	297	1,049	1,346
1940 to 1959	504	1,304	1,808
1960 to 1979	946	3,174	4,120
Total	1,747	5,527	7,273
Total			
1939-	540	2,822	3,362
1940 to 1959	1,032	5,252	6,284
1960 to 1979	1,736	8,308	10,044
Total	3,308	16,382	19,690

The City of Fort Smith Community Development Assistance program has employees certified to perform Lead Inspection and Risk Assessment. These individuals provide necessary testing to housing units involved in any rehabilitation programs. Currently, the program is successful in performing necessary testing, without causing financial strain, on meeting the goals of the 5 Year Consolidated Plan for Housing.

F. ANTI-POVERTY STRATEGY

Poverty is the condition of having insufficient resources or income. In its extreme form, poverty is a lack of basic human needs, such as adequate and healthy food, clothing, housing, water, and health services. Even modest levels of poverty can prevent people from realizing their goals and dreams.

Mere numbers and statistics do not tell the whole story of poverty. In order to fully grasp the nature and extent of poverty in the United States, it is important to understand how the federal government defines this term. Since the 1960s, the U.S. government has measured poverty by relating it to an artificially constructed *poverty line*. At the risk of oversimplifying this term, the *poverty line* is based on the level at which one-third of a family's annual income, adjusted for inflation, is no longer sufficient to afford an

adequate diet. However, since that time, food costs have steadily decreased as a percentage of a family's budget, while medical and housing costs have skyrocketed. Thus, the assumption that a family spends an average of one third of its budget on food is no longer an accurate one.

The federal government does not consider families earning more than this level to be living in poverty despite the fact that the wages of these families are not high enough to lift them out of what most of society considers to be poverty. Many of these families are often called the "working poor" since their employment does not guarantee them a "living wage."

The CDD will reduce poverty by fostering and promoting self-sufficiency and independence. To better empower individual and families toward this goal, the following strategies will be put to work:

- Promote sustainable economic development through affordable housing and other community development activities;
- Assist households in purchasing homes, developing stability and net worth and reducing the likelihood for poverty;
- Evaluate projects, in part, on the basis of their ability to foster self-sufficiency when awarding funding for projects;
- Maintain a strong relationship with the Old Fort Homeless Coalition to enhance and promote stabilization of homeless families and encourage transition to stable, permanent housing situations;
- Create and on-going mechanism for participation by residents and businesses in the revitalization of the area;
- Enhance efforts to educate the public and interested persons about available supportive services that foster self-sufficiency and independent living arrangements.
- Encourage job training and placement referral service to low and moderate income residents in the area

Specifically, the City, in partner with the Fort Smith Housing Authority, will fund, sponsor, or operate a number of programs designed to address the needs of families living in poverty and assist them in their efforts to escape poverty status. These programs include business and workforce development efforts, GED tutoring, childcare facilities, headstart centers, after school programs and housing assistance.

G. ANTI-DISPLACEMENT POLICY

Displacement occurs when a person moves as a direct result of federally-assisted acquisition, demolition, conversion, or rehabilitation activities, because he or she is:

- Required to move, or
- Not offered a decent, safe, sanitary and affordable unit in the project, or
- Treated “unreasonably” as part of a permanent or temporary move.

The term *displaced person* means any person that moves from real property or moves his or her personal property from real property permanently as a direct result of one or more of the following activities:

- Acquisition of, or written notice of intent to acquire, or initiation of negotiations to acquire, such real property, in whole or in part, for a project.
- Rehabilitation or demolition of such real property for a project.
- Rehabilitation, demolition, or acquisition (or written notice of intent) of all or a part of other real property on which the person conducts a business or farm operation, for a project.

A person may also be considered displaced if the necessary notices are not given or provided in a timely manner and the person moves for any reason.

The CDD currently has no projects in which displacement would occur. If displacement should occur the CDD will minimize the number of displaced persons. Additionally, the CDD will provide suitable housing and finance the costs associated with displacement.

During the relocation planning process the CDD will, at a minimum, guarantee the following:

1. Timely and full access to all documents relevant to the relocation program.
2. The provision of technical assistance necessary to interpret elements of the relocation plan and other pertinent materials.
3. The right to submit written or oral comments and objections.
4. Prompt, written response to any written objections or criticisms.

The CDD will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential anti-displacement and relocation assistance plan required under section 104(D) of the Housing and Community Development Act of 1974, as amended, in connection with and activity assisted with funding under the CDBG programs.

V. ONE YEAR ACTION PLAN

The following narrative describes the activities that the CDD will undertake for each of the formula grant programs to which it receives funding: HOME and CDBG.

A. ADMINISTERED CDBG FUNDS

Fort Smith's CDBG entitlement funds are earmarked to continue to provide effective programming, monitoring, and management of activities meeting the CDBG national objectives: (1) benefiting low to moderate- income individuals; (2) eliminating slum and blight; and (3) addressing urgent needs.

The proposed CDBG entitlement for program year 2011 is estimated at \$674,715. The number is estimated because as of April 7, 2011, the federal government is operating on a Continuing Resolution (CR) therefore there are no firm budget numbers. Additionally, this amount represents a 25% reduction from the 2010 funding level and is expected to cause the city to be unable to meet the annual goals, objectives and strategies. Funds will be used for, but not limited to capital improvements, public services, emergency repairs, housing rehabilitation, housing staff costs and administrative costs.

The City of Fort Smith does not presently nor does it plan to carry out CDBG float-funded activities. The City has set aside \$16,869 in un-programmed funds. These funds will be used to cover minor project cost overruns. If the funds are not used at the end of the program year, according to the Fort Smith Board of Directors resolution, the funds will transfer into the Fort Smith Housing Assistance Program where they will be used to rehabilitate or provide emergency repairs to owner-occupied single family housing units. There are no CDBG funds budgeted to address Urgent Need Category projects.

B. ADMINISTERED HOME FUNDS

Fort Smith's HOME Investment Partnership Act are earmarked to continue to provide effective programming, monitoring, and management of Homebuyer activities. As a Participating Jurisdiction, the city will partner with three agencies to carry out the annual goals, objectives and strategies for Program Year 2011. Fort Smith's homeowner rate is approximately 10% below the national rate and it is a goal to increase the number of homeowners in the city.

The proposed HOME Investment Partnership Act funds for Program Year 2011 is estimated at \$522,299. The number is estimated because as of April 7, 2011, the federal government is operating on a Continuing Resolution (CR) therefore there are no firm budget numbers.

C. HOMELESS PROGRAMS

As an advisory member, the CDD actively participates in the Old Fort Housing Coalition. In addition to the services provided through the continuum of care, the Next Step Day Room provides homeless counseling and services. In 2009 the Esther Housing was fully remodeled using HUD funding. The home now assists seven homeless women and/or women with children back into society by providing job assistance, budgeting classes, child care, and other support services.

Each CoC is required to submit an annual CoC plan and application for funding. The application includes a Housing Gap Analysis Chart, which identifies housing and supportive service needs for each region's homeless and homeless special needs population. Table 1A, provides a summary of the information provided by Old Fort. The information is separated into two sections: homeless individuals and people who are homeless in families with children.

Compiling accurate homeless counts is a complex challenge faced by communities across the nation. The most common method used to count homeless persons is a point-in-time count. Point-in-time counts involve counting all the people who are literally homeless on a given day or series of days and are designed to be statistically reliable and produce unduplicated numbers.

However, the National Coalition for the Homeless has pointed out that because point-in-time studies give just a "snapshot" picture of homelessness, they may miss people who are homeless at other times during the year. Other people may be missed because they are not in places researchers can easily find. These unsheltered or "hidden" homeless may be living in automobiles or campgrounds, for instance, or doubling up temporarily with relatives, friends, or others. Despite these limitations, the point-in-time counts done by the CoC provide a helpful estimation of the homeless population in the Fort Smith area.

Table 1A
Old Fort Homeless Coalition
 Homeless and Special Needs Populations
 Continuum of Care: Housing Gap Analysis Chart

		Current Inventory	Under Development	Unmet Need/ Gap
Individuals				
Example	Emergency Shelter	100	40	26
Beds	Emergency Shelter	116		1
	Transitional Housing	89		39
	Permanent Supportive Housing	205		125
	Total	410		165
Persons in Families With Children				
Beds	Emergency Shelter	70		57
	Transitional Housing	23		57
	Permanent Supportive Housing	155	*1	25
	Total	248		139

Continuum of Care: Homeless Population and Subpopulations Chart

Part 1: Homeless Population	Sheltered		Unsheltered	Total	
	Emergency	Transitional			
Number of Families with Children (Family Households):	10		0	10	
1. Number of Persons in Families with Children	22		0	22	
2. Number of Single Individuals and Persons in Households without children	77	72	35	174	
(Add Lines Numbered 1 & 2 Total Persons)	99	72	35	196	
Part 2: Homeless Subpopulations	Sheltered			Total	
a. Chronically Homeless	15			35	50
b. Severely Mentally Ill	23				
c. Chronic Substance Abuse	11				
d. Veterans	13				
e. Persons with HIV/AIDS	0				
f. Victims of Domestic Violence	14				
g. Unaccompanied Youth (Under 18)	16				

Table 1A shows that Old Fort has a significant shortage of transitional housing and especially permanent supportive housing for individuals. Slightly fewer than 40 transitional housing units and 125 permanent housing units are needed for individuals, while emergency shelter has less of a project needs, with one gap in units. Persons in families with children need 57 emergency shelter beds and 57 transitional housing beds. Permanent supportive housing is the most pressing housing need for people in families with children. 174 permanent supportive housing beds are needed.

Additional information is provided in Table 1A concerning six homeless subpopulations:

- Severely mentally ill;
- Chronic substance abuse;

¹ Next Step Day Room has an allocation of \$220,450 in CDBG homeless funds available to undertake a project for transitional housing. A suitable location search is underway.

V. One Year Action Plan

- Veterans;
- Persons with HIV/AIDS;
- Victims of domestic Violence; and,
- Unaccompanied youth under the age of 18.

As shown earlier in HUD Table 1A, the number of people who were sheltered homeless in each subcategory ranged from 23 for person with severe mental illness to 13 veterans. Because these totals resulted from point-in-time counts, they are likely much lower than the actual number of people who are homeless in each subcategory.

Program Year 2011

Annual

Action

Plan

July 1, 2011 – June 30, 2012

V. One Year Action Plan

As set forth in 24 CFR Part 91, the U.S. Department of Housing and Urban Development (HUD) requires jurisdictions to incorporate their planning and application requirements into one plan called the Consolidated Strategy Plan. A Consolidated Plan was prepared for program years 2011-2015. An Annual Action Plan (AAP) must be prepared for each year of the Consolidated Plan.

The City's proposed allocation for Program Year 2011 consists of a total of \$1,197,014 in projected support from the following programs: (1) Community Development Block Grant (CDBG)- \$674,715 (25% reduction from 2010); and (2) HOME Investment Partnership (HOME) - \$522,299. Additionally, CDBG funds from Program Year 2010 are being re-programmed in the amount of \$32,236 for CDBG in the Homelessness category which is programmed for the Next Step Day Room proposed project identified as 2011-A.

The activities and programs described in the Annual Action Plan are aligned with the Strategic Plan, as outlined in the Consolidated Plan. The 2011 program year will place considerable emphasis on targeting low to moderate income areas and leveraging entitlement funds. The amount of leverage this year is estimated at \$3,519,021 and is shown on each proposed project listing. See the table of contents for the page numbers of proposed projects.

This plan was developed using an effective citizen participation process in compliance with the regulations set forth in 24 CFR Part 91. A copy of the comments that were received is incorporated into this document. The City's participation plan encourages and empowers citizens to participate in the development of viable urban programs.

The following is the proposed PY 2011 Annual Action Plan, which identifies the method of distributing HUD funds and outlines the City's overall housing and community development needs and strategies.

There are no activities proposed that will result in displacement by any other entity. However, should any other activity cause displacement, the City of Fort Smith's plan for minimizing displacement will be implemented.

The goals, objectives and strategies begin on Page 15 of this plan from the Five Year Consolidated Plan 2011-2015 which were incorporated as a part of the application process. The performance and outcomes measures are identified on each individual activity proposed within the action plan.

V. One Year Action Plan

Currently, the City of Fort Smith is meeting and in some areas exceeding goals in the area of past performance as is demonstrated in the most recent Consolidated Annual Performance and Evaluation Report (CAPER). The city is proud of its partners as we all continue to do more with less and leverage funds with CDBG and HOME. The staff is discouraged that the CDBG program is expected to be reduced drastically which will impact neighborhoods and the quality of life for our LMI citizens. Even at full funding, inflation has eroded the purchasing power of that funding even with returning to the levels of funding in FFY 2005.

Public Housing

The City and the Housing Authority will continue to work together over the next two years with their partnership for affordable housing. There is continued collaboration of efforts for grant submittals and pooling of resources.

Specifically, the Housing Authority has embarked on a major effort to obtain HOME funds and Low Income Housing Tax Credits through the Arkansas Development Finance Authority to build quality, affordable rental housing for low income families within mixed income developments in Fort Smith. The first three projects, North Pointe I and II and 5023 Williams Lane (Clayton Heights), will result in the construction of 172 single-family and duplex rental housing units to replace the former Ragon Homes family public housing (170 units), demolished in 2008. The City provided over \$1.5 million in CDBG and City Street Tax funds to assist with the construction of North Pointe I and II, as well as other assistance in the demolition of Ragon Homes. Additional Low Income Housing Tax Credit projects are in design and will be submitted to ADFA for funding in future years.

Recently, the City of Fort Smith in partnership with the Fort Smith Housing Authority was invited to submit a full application to the Arkansas Economic Development Commission for \$820,000 in CDBG Disaster Assistance (Ike-2) funding, specifically for a Neighborhood Revitalization Project. The project, as proposed, will be to acquire at least 20 properties that have fallen into disrepair, are blighted, abandoned and otherwise damaged as a result of the March and April 2008 hail and windstorms. The grant will include funds to acquire, demolish and prepare the lots for redevelopment of single family homes for sale to low income eligible families over the next several years. The construction of new homes is not included in the scope of this grant, but the City and Housing Authority will be looking for other partnerships and funding sources to provide for construction. This grant application builds upon a \$1.3 million grant awarded to FSHA in April 2010 through the Neighborhood Stabilization Program – 1 (NSP-1) administered by the Arkansas Development Finance Authority. The grant provided for the acquisition of blighted, abandoned and vacant properties on the north side of Fort Smith in order to demolish the houses and redevelop the lots with new construction houses available for sale to income eligible families. A total of eight new homes have been constructed on scattered lots in the target area. These two projects further the City's strategy to stabilize and strengthen neighborhoods through elimination of blighted homes and replacement with new construction in-fill houses.

The Fort Smith Housing Authority is receiving approximately \$5.0 million in Housing Assistance Payment funds on an annual basis to serve 1239 families during the funding period of January 1 - December 31. The service area for the Authority is both Sebastian County and the City of Fort Smith, although most of the families reside in Fort Smith. Specifically, 85% of the housing voucher assistance families reside in Fort Smith. Due to a current wait list of over 1300 families

seeking rental assistance, the Fort Smith Housing Authority requested \$450,000 (the maximum grant allowed) in HOME funds from ADFA in 2010 through their Tenant Based Rent Assistance program (TBRA). Due to limited available funds, our request is on hold. This TBRA assistance will allow the FSHA to serve an additional 80 families for up to 12 months who would otherwise have remained on the wait list.

Nelson Hall Homes, the Housing Authority's 288 unit public housing development for elderly and disabled income eligible citizens, is undergoing a \$3.8 million interior remodel of each apartment/unit over the next five years. The project was constructed in 1968 and has never undergone any significant interior modernization. Nearly \$3 million has been spent in the past 3 years to upgrade the site sidewalks, parking, sewer systems, lighting, handicapped accessibility and unit exteriors following a hail and windstorm event in April 2008 that caused significant damage. The modernization of the interiors will extend the useful life of the project for at least another 30 years and provide a better quality of life and living experience for the residents. The City of Fort Smith will continue to assist these projects through the 50/50 sidewalk improvement program and upgrades to the interior streets and drainage of the development.

The Fort Smith Housing Authority has set the following goals in the PHA's Five Year Plan:

- 1) Expand the supply of assisted housing;
- 2) Improve the quality of assisted housing;
- 3) Increase assisted housing choices;
- 4) Provide an improved living environment;
- 5) Promote self-sufficiency and asset development of assisted households; and
- 6) Ensure equal opportunity and affirmatively further fair housing.

Fort Smith officials and citizens are pleased to have a PHA that continues to work closely with local housing and service agencies. The Fort Smith Housing Authority continues to be highly rated by HUD for its operations and maintenance of the facilities. Specifically, the Fort Smith Housing Authority recently earned a 100% score and a designation of "High Performer" on the Section Eight Management Assessment Program (Housing Assistance Payments/Housing Choice Voucher program) report for the 2010 fiscal year. In addition, the Fort Smith Housing Authority was named "Agency of the Year" for 2010 by the Arkansas Chapter of the National Association of Housing and Redevelopment Officers.

HIV/AIDS Housing Goals

The City of Fort Smith does not directly receive an allocation of HOPWA funds. These funds are administered by the State of Arkansas for this jurisdiction.

Geographic Distribution

The proposed allocation of funds is based on federal funding requirements for each formula-allocated grant. Areas of low to moderate-income concentration and certain areas of high minority concentration were targeted. Areas of low homeownership and deteriorating housing conditions were also considered in the process. The rehabilitation activities are not limited to the target areas but are focused on the low moderate income households citywide. The services will not only bring in the typical housing activity but will also coordinate efforts in the following areas all specifically targeted for low income residents:

- Medical Service
- Education/Literacy
- Safety
- Infrastructure Improvements
- Quality of Life (parks and recreation)
- Transportation

The City will allocate its resources to improve low-moderate income neighborhoods citywide and in the eight census tracts (target areas) where housing distress, substandard housing, income issues, and homelessness are prevalent.

The obstacles facing the city are the continued reduction of the CDBG and HOME program funds to address underserved needs. The city is not in a position to estimate the amount of funds to each of the eight census tracts and further does not receive enough resources to segregate the funds on that basis.

The estimated amount of CDBG funds that will be used for activities that benefit person of low and moderate income is \$604,008, which includes \$32,236 re-programmed from Program Year 2010 and \$40,000 in estimated program income.

Home Specific Requirements

Deed Restriction-HOME Investment Partnerships Program

The receipt of the HOME assistance for the purchase or rehabilitation of a property is subjected to all the requirements of the HOME Program and affordability requirements contained in 24 CFR Part 92. Following are the restrictions in the deed:

Duration of Affordability Restrictions

The housing being constructed or rehabilitated will qualify as affordable housing pursuant according to the requirements of 24 CFR 92.254, for the "Affordability Period" and after the completion of the project, covenants and restrictions in the deed restriction would terminate without any further action required by the owner or the City.

Termination of Affordability Restrictions

The affordability restrictions will terminate due to foreclosure or a transfer of the property in lieu of a foreclosure; however, if the owner obtains an ownership interest in the property before the foreclosure or deed in lieu of foreclosure, during the original affordability period, the affordability restrictions shall be reviewed.

Recapture Restrictions

In the event of a failure of a HOME Program beneficiary to satisfactorily adhere to all applicable affordability requirements, City of Fort Smith will use the following recapture provision to ensure that the intent of the HOME funded activity is accomplished or that the unforgiven portion of the HOME funds are recaptured and used for other activities eligible under the HOME Program.

Home Owner Housing

1. acquisition/rehabilitation/sale of affordable housing
2. new construction/sale of affordable housing
3. provision of mortgage subsidy
4. provision of down payment assistance/closing cost subsidy

Recapture Option

1. Recapture that portion of HOME Program investment unforgiven by the elapsed affordability period or recapture the maximum net proceeds from sale of property (whether recapture is effected through foreclosure or no foreclosure action)

2. Net proceeds recovered will be used to:
 - a) Reimburse the HOME Program (Approved Activity) for the outstanding balance of HOME funds not repaid or forgiven during the applicable affordability at the time of recapture.

 - b) Reimburse the HOME Program (Administration) for “holding costs” or other costs associated with the recapture action (legal fees, insurance, taxes, realtor fees, appraisal / BPO costs, etc.)

If net proceeds recaptured are less than the outstanding balance of HOME funds invested in the property (for all approved activities and holding costs incurred), the loss will be absorbed by the HOME Program and all HOME Program requirements would be considered to have been satisfied.

If net proceeds recaptured are greater than the outstanding balance of HOME funds invested in the property (for all approved activities and holding costs incurred), the balance of net proceeds would be distributed to the homeowner (or his/her estate). If the recapture of proceeds is effectuated through a completed foreclosure action, and the property is legally owned by City of Fort Smith, the balance of net proceeds recaptured will inure to City of Fort Smith.

Affirmative Marketing Plan

The City of Fort Smith, through a coordinated effort with other organizations, is committed to the goals of affirmative marketing and fair housing. The following steps will be taken:

The City publishes annually, in the Times Record, a statement of Fort Smith's equal opportunity and affirmative action policies pertaining to housing programs in general and to the HOME Program in particular. The Equal Housing Opportunity logotype or slogan is included in all press releases and informational materials for the public.

To inform owners of the City's policy on affirmative marketing, a statement will be included in advertisements or promotional materials. As record keeping requirements and compliance with fair housing laws, the foregoing will be part of assistance contracts with owners and contractors, and the City's policy will be discussed with owners during the contract signing.

To inform potential home buyers, the City of Fort Smith will include the policy statement in application packets and will print the Equal Housing Opportunity slogan or logotype on forms and instructions. In addition, the City will make available in the Community Development Office literature on Fair Housing laws and rights of minorities and women to equal housing opportunity.

Every owner and agency entering into agreement with the City to fund a project wholly or in part with HOME or CDBG money must agree to abide by applicable fair housing and equal opportunity laws and regulations, to use a newspaper of general circulation to advertise properties available for purchase, to use the Equal Housing Opportunity slogan in advertisements and written announcements, to maintain records on the racial gender identities of applicants, and to report such data annually to the City on request.

Every CHDO or subrecipient participating in the HOME and CDBG Program must develop an Affirmative Marketing Plan acceptable to the City of Fort Smith's HOME and CDBG Program. This plan is to be incorporated into a legally binding agreement with the City. The Affirmative Marketing Plan must be directed toward obtaining applications from persons of the racial group least likely to apply to buy or lease the assisted property and must provide at least the following:

1. A written determination of the racial group from which applicants are least likely to come and to which special outreach is to be directed.

2. Whenever more than fifty percent of current tenants of a project are of a minority group or the census block group in which the project located is more than fifty-one percent minority, the owner will:
 - A. Use newspapers and other media of general circulation or orientation to advertise properties available; and ,

 - B. Specify at least two social service agencies which serve both white and non-white clients or religious institutions with majority members to which the owner will send letters informing them approximately when the housing will be available and of the intention to sell on the same terms to both majority and minority applicants. CHDO's may not specify themselves as one of these agencies.

3. Whenever less than thirty percent of the current residents of the project site are of the minority population or the immediate vicinity of the site is predominately populated by the person of a racial minority, the owner will use the Times Record as well as other newspapers of general circulation to advertise availability of assisted units. (Other measures may be taken, in addition, such as notification of churches with a predominately minority membership).

4. The owner will display the Equal Opportunity logo and/or slogan in all advertising and communications concerning HOME and CDBG funded projects.

The City's HOME and CDBG Program will collect and keep records of the racial and gender identities of all applicants for assistance, whether successful or unsuccessful, who apply directly to the City. It will require subrecipients, owners, agents, CHDO's and other through whom HOME and CDBG assistance may be provided indirectly, to keep similar records. The City will keep and will require subrecipients, owners, CHDO's and other agents to keep copies of advertisements, press releases, letters to fair housing groups and social service agencies, promotional materials, and other documents to demonstrate good faith effort toward affirmative marketing.

The City's Director of Community Development will be responsible for an annual assessment of affirmative marketing efforts of the city and of all individuals and agencies which the City has required to implement an affirmative marketing plan as part of an agreement for HOME and CDBG Program assistance. Results will be tabulated to show total participation, minority participation, female-headed household participation, and participation by persons with disabilities in each project assisted with HOME and CDBG funds. In addition, a check-form will list all of the actions required as described herein and the records will be examined to determine whether the required actions have or have not been taken in each instance. Where a

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required action has not been taken or there is no record to document it, the individual or agency will be given ninety days to evidence corrective action and will be required for a period of one year to notify the City when an affirmative action step is taken, such as placement of a vacancy advertisement or the awarding of a letter of notice to a fair housing agency. Should no timely corrective action be taken, the City will disqualify the individual, agency or CHDO from further participation in the HOME and CDBG Program.

To the greatest extent feasible, contracts for work to be performed in connection with such projects will be awarded to business concerns in the City of Fort Smith, and the City will encourage the use of minority and women enterprises in the HOME funded projects.

Outreach to Minority and Women Owned Businesses (MBE/WBE)-

The City continues to maintain and update regularly the following lists of minority and women-owned businesses:

1. MBE/WBE general contractors
2. MBE/WBE specialty contractors and subcontractors
3. MBE/WBE firms which supply goods and services related to housing development and construction, including but not limited to real estate agencies, legal counsel, appraisal, financial services, investment banking, insurance and bonds, building materials, office supplies, and printing. The lists will be supplied to CHDOs, CDCs, contractors, and owners who are applying for assistance under the HOME program or who enter into contracts or agreements with the City for HOME-assisted projects.
4. New MBE/WBE of all types that are willing to participate in the listing upon application / issuance of a new City business license.

The Community Development Housing Division will conduct at least one workshop each year to explain the City's entitlement grant programs and brief those MBEs and WBEs engaged in housing development and construction on any changes and new developments. The City is also partnering with the transit department and the airport to assist with lists and the availability of contracts or services purchases by our entities.

The City will require MBE/WBE outreach plans and actions to affirmatively market HOME-assisted housing in all written agreements with CHDOs, CDCs, and owners or sponsors or projects other than owner-occupied rehabilitations administered by the City under technical assistance requests.

The City or entities receiving an award of HOME program funds will routinely notify MBE/WBE contractors and suppliers by direct mail or by phone calls or through the City website, of all awards or agreements for multi-unit housing projects, including in the notice the nature of the activity, estimated project costs, the number of units to be developed, and the name and address of the owner, manager, or sponsor.

The CDBG and Housing Division will affirmatively market all housing assisted with HOME funds and use the Equal Housing Opportunity logotype or slogan in all press releases, informational materials, and advertisements.

The CDBG and Housing Division will collect information on racial and gender composition of all applicants and beneficiaries of the HOME program and require all subrecipients to collect and maintain similar information.

Should individuals or organization awarded HOME funds by the City fail to comply with MBE/WBE outreach or affirmative marketing requirements, a period of thirty days will be extended to evidence corrective action. If no satisfactory corrective actions are taken, the City will disqualify the individual or organization for further participation in the HOME Program.

Proposed Expenditures

**2011 Entitlement Summary
(Expenditures)**

Community Development Block Grant Year 37

Administration	\$ 134,943
Homelessness Category	101,207
Public Service Category	101,207
Community Development Category	320,489
Unprogrammed funding	16,869
Year 37 CDBG	\$ 674,715
Year 36 CDBG - Homelessness	\$ 32,236
Total	\$ 706,951

HOME Investment Partnership Act Funds Year 18

Administration	\$ 52,229
Community Housing Development Organization (CHDO)	78,350
Other Housing Activities	384,619
Unprogrammed	7,101
Total	\$ 522,299

PROJECT / ACTIVITY DESCRIPTIONS

The following are descriptions of projects and activities that will be funded during the 2011 Program Year with CDBG and HOME funds:

NEXT STEP DAY ROOM – SUPPORTIVE HOUSING 2011-A			
This is a multi-year project that has already been granted \$220,450 in previous years CDBG funding. The project will construct a 16 bedroom supportive housing residence to advance those homeless to residential housing. These funds include a reallocation of Year 36 CDBG funds in the amount of \$32,236.			
HUD Matrix Code:	03C Homeless Facilities §570.201(c)	Objectives: <input type="checkbox"/> Suitable Living Environment <input checked="" type="checkbox"/> Decent Housing <input type="checkbox"/> Creating Economic Opportunities Outcomes: <input checked="" type="checkbox"/> Availability/Accessibility <input type="checkbox"/> Affordability <input type="checkbox"/> Sustainability	
Eligibility:	§570.208(a)(2) Low/Mod Limited Clientele		
Priority Need:	Homelessness		
Specific Objective:	Provide supportive housing for homeless persons.		
Specific Outcomes:	Number of beds created in supportive housing		
Project Goals:	35 People		
Primary Purpose:	<input checked="" type="checkbox"/> Homeless <input type="checkbox"/> HIV/AIDS <input type="checkbox"/> Disabled	Funding:	
Subrecipient:	Public §570.500(c)	CDBG	\$133,443
Location:	500 North 9 th Street	HOME	\$0
Start Date	07/01/2011	Other Sources	\$385,450
Completion Date	12/31/2012	Total	\$518,893

GOOD SAMARITAN CLINIC PS 2011-C			
This activity will provide the working uninsured and their families, homeless and disabled with medical services including laboratory services, medical supplies and prescribed pharmaceuticals.			
HUD Matrix Code:	05M Health Services §570.201(e)	Objectives: <input checked="" type="checkbox"/> Suitable Living Environment <input type="checkbox"/> Decent Housing <input type="checkbox"/> Creating Economic Opportunities Outcomes: <input checked="" type="checkbox"/> Availability/Accessibility <input type="checkbox"/> Affordability <input type="checkbox"/> Sustainability	
Eligibility:	§570.208(a)(2) Low/Mod Limited Clientele		
Priority Need:	Public Services / Special Needs		
Specific Objective:	Improve the services for low/moderate income persons.		
Specific Outcomes:	Number of persons assisted with improved access to a service.		
Project Goals:	4,200 People		
Primary Purpose:	<input type="checkbox"/> Homeless <input type="checkbox"/> HIV/AIDS <input type="checkbox"/> Disabled	Funding:	
Subrecipient:	Public §570.500(c)	CDBG	\$19,125
Location:	615 North "B" Street	HOME	\$0
Start Date	07/01/2011	Other Sources	\$86,053
Completion Date	06/30/2012	Total	\$105,178

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COMMUNITY SERVICES CLEARINGHOUSE PS 2011-D			
This activity will provide one-time financial assistance to low-income Fort Smith families in the area of rent and utilities.			
HUD Matrix Code:	05 Public Services §570.201(e)	Objectives:	
Eligibility:	§570.208(a)(2) Low/Mod Limited Clientele	<input checked="" type="checkbox"/> Suitable Living Environment	
Priority Need:	Public Services	<input type="checkbox"/> Decent Housing	
Specific Objective:	Improve the services for low/moderate income persons.	<input type="checkbox"/> Creating Economic Opportunities	
Specific Outcomes:	Number of persons assisted with improved access to a service.	Outcomes:	
Project Goals:	54 People	<input checked="" type="checkbox"/> Availability/ Accessibility	
Primary Purpose:	<input type="checkbox"/> Homeless <input type="checkbox"/> HIV/AIDS <input type="checkbox"/> Disabled	<input type="checkbox"/> Affordability	
Subrecipient Type:	Public §570.500(c)	<input type="checkbox"/> Sustainability	
Location:	4420 Wheeler Avenue	Funding:	
Start Date	07/01/2011	CDBG	\$6,800
Completion Date	06/30/2012	HOME	\$0
		Other Sources	\$168,965
		Total	\$175,765

NEXT STEP DAY ROOM – ESTHER HOME OPERATING PS 2011-E			
This activity will provide for some of the operational expenses for this supportive housing for women and women with children previously experiencing homelessness. To include utilities, groceries or other operational items.			
HUD Matrix Code:	05 Public Services §570.201(e)	Objectives:	
Eligibility:	§570.208(a)(2) Low/Mod Limited Clientele	<input checked="" type="checkbox"/> Suitable Living Environment	
Priority Need:	Special Needs / Public Services	<input type="checkbox"/> Decent Housing	
Specific Objective:	Improve the services for low/moderate income persons.	<input type="checkbox"/> Creating Economic Opportunities	
Specific Outcomes:	Number of persons assisted with improved access to a service.	Outcomes:	
Project Goals:	25 People	<input checked="" type="checkbox"/> Availability/ Accessibility	
Primary Purpose:	<input type="checkbox"/> Homeless <input type="checkbox"/> HIV/AIDS <input type="checkbox"/> Disabled	<input type="checkbox"/> Affordability	
Subrecipient Type:	Public § 570.500(c)	<input type="checkbox"/> Sustainability	
Location:	210 North 17 th Street	Funding:	
Start Date	07/01/2011	CDBG	\$4,500
Completion Date	06/30/2012	HOME	\$0
		Other Sources	\$30,420
		Total	\$34,920

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NEXT STEP DAY ROOM – CASE MANAGEMENT PS 2011-F			
This activity will provide for case management services for individuals, families and military veterans facing homelessness.			
HUD Matrix Code:	03T Operating Costs of Homeless Programs §570.201(e)	Objectives:	
Eligibility:	§570.208(a)(2) Low/Mod Limited Clientele	<input checked="" type="checkbox"/> Suitable Living Environment	
Priority Need:	Special Needs / Public Services	<input type="checkbox"/> Decent Housing	
Specific Objective:	Improve the services for low/moderate income persons.	<input type="checkbox"/> Creating Economic Opportunities	
Specific Outcomes:	Number of persons assisted with improved access to a service.	Outcomes:	
Project Goals:	5,760 Persons	<input checked="" type="checkbox"/> Availability/ Accessibility	
Primary Purpose:	<input checked="" type="checkbox"/> Homeless <input type="checkbox"/> HIV/AIDS <input type="checkbox"/> Disabled	<input type="checkbox"/> Affordability	
Subrecipient Type:	Public §570.500(c)	<input type="checkbox"/> Sustainability	
Location:	123 North 6 th Street	Funding:	
Start Date	07/01/2011	CDBG	\$16,650
Completion Date	06/30/2012	HOME	\$0
		Other Sources	\$121,592
		Total	\$138,092

HEART TO HEART PREGNANCY SUPPORT CENTER PS 2011-G			
This activity will purchase baby cribs, car seats, diapers and formula for pregnant, single LMI mothers, fathers and families.			
HUD Matrix Code:	05 Public Services §570.201(e)	Objectives:	
Eligibility:	§570.208(a)(2) Low/Mod Limited Clientele	<input checked="" type="checkbox"/> Suitable Living Environment	
Priority Need:	Public Services	<input type="checkbox"/> Decent Housing	
Specific Objective:	Improve the services for low/moderate income persons.	<input type="checkbox"/> Creating Economic Opportunities	
Specific Outcomes:	Number of persons assisted with improved access to a service.	Outcomes:	
Project Goals:	1,300 Persons	<input checked="" type="checkbox"/> Availability/ Accessibility	
Primary Purpose:	<input type="checkbox"/> Homeless <input type="checkbox"/> HIV/AIDS <input type="checkbox"/> Disabled	<input type="checkbox"/> Affordability	
Subrecipient Type:	Public §570.500(c)	<input type="checkbox"/> Sustainability	
Location:	417 South 16 th Street	Funding:	
Start Date	07/01/2011	CDBG	\$7,050
Completion Date	06/30/2012	HOME	\$0
		Other Sources	\$10,570
		Total	\$17,620

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LINCOLN CHILDCARE CENTER PS 2011-H			
This activity will provide scholarship money to provide 40% of the tuition to attend a year round Infant/Toddler Program.			
HUD Matrix Code:	05 Public Services §570.201(e)	Objectives: <input checked="" type="checkbox"/> Suitable Living Environment <input type="checkbox"/> Decent Housing <input type="checkbox"/> Creating Economic Opportunities	
Eligibility:	§570.208(a)(2) Low/Mod Limited Clientele		
Priority Need:	Public Services / Anti-Poverty Strategy	Outcomes: <input checked="" type="checkbox"/> Availability/ Accessibility <input type="checkbox"/> Affordability <input type="checkbox"/> Sustainability	
Specific Objective:	Improve the services for low/moderate income persons.		
Specific Outcomes:	Number of persons assisted with improved access to a service.	Funding:	
Project Goals:	12 persons		
Primary Purpose:	<input type="checkbox"/> Homeless <input type="checkbox"/> HIV/AIDS <input type="checkbox"/> Disabled	CDBG	\$12,000
Subrecipient Type:	Public §570.500(c)	HOME	\$0
Location:	1415 North 9 th Street	Other Sources	\$27,000
Start Date	07/01/2011	Total	\$39,000
Completion Date	06/30/2012		

WESTARK RSVP – MEDICARE COUNSELING PS 2011-I			
This activity will provide for the counseling of eligible persons with Medicare application, choosing Part C & D plans and problem solving.			
HUD Matrix Code:	05 Public Services §570.201(e)	Objectives: <input checked="" type="checkbox"/> Suitable Living Environment <input type="checkbox"/> Decent Housing <input type="checkbox"/> Creating Economic Opportunities	
Eligibility:	§570.208(a)(2) Low/Mod Limited Clientele		
Priority Need:	Public Services	Outcomes: <input checked="" type="checkbox"/> Availability/ Accessibility <input type="checkbox"/> Affordability <input type="checkbox"/> Sustainability	
Specific Objective:	Improve the services for low/moderate income persons.		
Specific Outcomes:	Number of persons assisted with improved access to a service.	Funding:	
Project Goals:	240 Persons		
Primary Purpose:	<input type="checkbox"/> Homeless <input type="checkbox"/> HIV/AIDS <input type="checkbox"/> Disabled	CDBG	\$6,000
Subrecipient Type:	Public §570.500(c)	HOME	\$0
Location:	401 North 13 th Street	Other Sources	\$12,240
Start Date	07/01/2011	Total	\$18,240
Completion Date	06/30/2012		

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WESTARK RSVP – VOLUNTEER INCOME TAX ASSISTANCE (VITA) PS 2011-J			
This activity will provide for tax assistance for income qualified individuals and families. This will include preparation and electronically filing federal and state tax returns.			
HUD Matrix Code:	05 Public Services §570.201(e)	Objectives:	
Eligibility:	§570.208(a)(2) Low/Mod Limited Clientele	<input checked="" type="checkbox"/> Suitable Living Environment	
Priority Need:	Public Services	<input type="checkbox"/> Decent Housing	
Specific Objective:	Improve the services for low/moderate income persons.	<input type="checkbox"/> Creating Economic Opportunities	
Specific Outcomes:	Number of persons assisted with improved access to a service.	Outcomes:	
Project Goals:	1,000 Persons	<input checked="" type="checkbox"/> Availability/Accessibility	
Primary Purpose:	<input type="checkbox"/> Homeless <input type="checkbox"/> HIV/AIDS <input type="checkbox"/> Disabled	<input type="checkbox"/> Affordability	
Subrecipient Type:	Public §570.500(c)	<input type="checkbox"/> Sustainability	
Location:	401 North 13 th Street	Funding:	
Start Date	07/01/2011	CDBG	\$8,000
Completion Date	06/30/2012	HOME	\$0
		Other Sources	\$14,000
		Total	\$22,000

FOUNTAIN OF YOUTH – PS 2011-K			
This activity will provide for adult day care for income eligible Fort Smith citizens to allow family members respite from caregiving.			
HUD Matrix Code:	05 Public Services §570.201(e)	Objectives:	
Eligibility:	§570.208(a)(2) Low/Mod Limited Clientele	<input checked="" type="checkbox"/> Suitable Living Environment	
Priority Need:	Public Services / Special Needs	<input type="checkbox"/> Decent Housing	
Specific Objective:	Improve the services for low/moderate income persons.	<input type="checkbox"/> Creating Economic Opportunities	
Specific Outcomes:	Number of persons assisted with improved access to a service.	Outcomes:	
Project Goals:	30 Persons	<input checked="" type="checkbox"/> Availability/Accessibility	
Primary Purpose:	<input type="checkbox"/> Homeless <input type="checkbox"/> HIV/AIDS <input type="checkbox"/> Disabled	<input type="checkbox"/> Affordability	
Subrecipient Type:	Public §570.500(c)	<input type="checkbox"/> Sustainability	
Location:	2409 South 56 th Street	Funding:	
Start Date	07/01/2011	CDBG	\$4,382
Completion Date	06/30/2012	HOME	\$0
		Other Sources	\$16,000
		Total	\$20,382

CRAWFORD-SEBASTIAN COMMUNITY DEVELOPMENT COUNCIL – CREDIT COUNSELING PS 2011-L			
This activity will provide for the housing counseling for eligible persons/households to become mortgage ready. This may include those with credit problems that are barriers to being homeowners as well as foreclosure advice.			
HUD Matrix Code:	05 Public Services §570.201(e)	Objectives: <input checked="" type="checkbox"/> Suitable Living Environment <input type="checkbox"/> Decent Housing <input type="checkbox"/> Creating Economic Opportunities Outcomes: <input checked="" type="checkbox"/> Availability/ Accessibility <input type="checkbox"/> Affordability <input type="checkbox"/> Sustainability	
Eligibility:	§570.208(a)(2) Low/Mod Limited Clientele		
Priority Need:	Public Services		
Specific Objective:	Improve the services for low/moderate income persons.		
Specific Outcomes:	Number of persons assisted with improved access to a service.		
Project Goals:	32 Persons		
Primary Purpose:	<input type="checkbox"/> Homeless <input type="checkbox"/> HIV/AIDS <input type="checkbox"/> Disabled	Funding:	
Subrecipient Type:	Public §570.500(c)	CDBG	\$3,200
Location:	4831 Armour Avenue	HOME	\$0
Start Date	07/01/2011	Other Sources	\$39,425
Completion Date	06/30/2012	Total	\$42,625

COMMUNITY DENTAL CLINIC PS 2011-M			
This activity will provide dental services for income eligible Fort Smith citizens. This includes but is not limited to oral cancer screenings, examinations, full-mouth x-rays, extractions, cleanings and dentures.			
HUD Matrix Code:	05M Health Services §570.201(e)	Objectives: <input checked="" type="checkbox"/> Suitable Living Environment <input type="checkbox"/> Decent Housing <input type="checkbox"/> Creating Economic Opportunities Outcomes: <input checked="" type="checkbox"/> Availability/ Accessibility <input type="checkbox"/> Affordability <input type="checkbox"/> Sustainability	
Eligibility:	§570.208(a)(2) Low/Mod Limited Clientele		
Priority Need:	Public Services		
Specific Objective:	Improve the services for low/moderate income persons.		
Specific Outcomes:	Number of persons assisted with improved access to a service.		
Project Goals:	525 People		
Primary Purpose:	<input type="checkbox"/> Homeless <input type="checkbox"/> HIV/AIDS <input type="checkbox"/> Disabled	Funding:	
Subrecipient Type:	Public §570.500(c)	CDBG	\$13,500
Location:	109 North 17 th Street	HOME	\$0
Start Date	07/01/2011	Other Sources	\$149,854
Completion Date	06/30/2012	Total	\$163,354

BOST, INC. – AGENCY REHABILITATION 2011-O			
This activity will replace commercial carpet flooring and refresh the interior paint within the South Preschool and Adult Development Center (ADC) classrooms and offices.			
HUD Matrix Code:	03B Centers for the Disabled §570.201(c)	Objectives:	
Eligibility:	§570.208(a)(2) Low/Mod Limited Clientele	<input checked="" type="checkbox"/> Suitable Living Environment	
Priority Need:	Non-Housing Community Development / Special Needs	<input type="checkbox"/> Decent Housing	
Specific Objective:	Improve the services for low/moderate income persons.	<input type="checkbox"/> Creating Economic Opportunities	
Specific Outcomes:	Number of persons assisted with improved access to a service.	Outcomes:	
Project Goals:	200 People	<input checked="" type="checkbox"/> Availability/Accessibility	
Primary Purpose:	<input type="checkbox"/> Homeless <input type="checkbox"/> HIV/AIDS <input type="checkbox"/> Disabled	<input type="checkbox"/> Affordability	
Subrecipient Type:	Public §570.500(c)	<input type="checkbox"/> Sustainability	
Location:	7701 South Zero Street	Funding:	
Start Date	07/01/2011	CDBG	\$26,000
Completion Date	12/31/2012	HOME	\$0
		Other Sources	\$2,600
		Total	\$28,600

LEND A HAND – FISHER’S WAY SUBDIVISION 2011-P & T			
This project is to provide partial funding for Phase 1 of the Fisher’s Way Subdivision which will provide for affordable housing available to a mixed income neighborhood at Chaffee Crossing.			
HUD Matrix Code:	03 Public Facilities & Improvements (Gen) §570.201(c)	Objectives:	
Eligibility:	§570.208(a)(3) – Low/Mod Housing	<input type="checkbox"/> Suitable Living Environment	
Priority Need:	Affordable Housing	<input checked="" type="checkbox"/> Decent Housing	
Specific Objective:	Increase availability of affordable owner housing.	<input type="checkbox"/> Creating Economic Opportunities	
Specific Outcomes:	Homeownership Units Constructed	Outcomes:	
Project Goals:	20 LMI Households / 18 Mixed Income	<input type="checkbox"/> Availability/Accessibility	
Primary Purpose:	<input type="checkbox"/> Homeless <input type="checkbox"/> HIV/AIDS <input type="checkbox"/> Disabled	<input checked="" type="checkbox"/> Affordability	
Subrecipient Type:	Public §570.500(c)	<input type="checkbox"/> Sustainability	
Location:	Wells Lake Road at Bost Road – Chaffee	Funding:	
Start Date	07/01/2011	CDBG	\$38,098
Completion Date	12/31/2012	HOME	\$104,415
		Other Sources	\$962,148
		Total	\$1,104,661

CRAWFORD-SEBASTIAN COMMUNITY DEVELOPMENT COUNCIL – DPA 2011-Q			
Direct downpayment assistance for the purchase of affordable housing by low to moderate income families or individuals whom are Fort Smith residents. This project is also funded with estimated program income in the amount of \$40,000 for Program Year 2011 is included in Other Resources.			
HUD Matrix Code:	13 Direct Homeownership Assistance	Objectives:	
Eligibility:	§ 92.205(a)(1) Homebuyer Assistance	<input type="checkbox"/> Suitable Living Environment	
Priority Need:	Affordable Housing	<input checked="" type="checkbox"/> Decent Housing	
Specific Objective:	Increase availability of affordable owner housing.	<input type="checkbox"/> Creating Economic Opportunities	
Specific Outcomes:	Homeownership Units Acquired	Outcomes:	
Project Goals:	19 Housing Units	<input type="checkbox"/> Availability/Accessibility	
Primary Purpose:	<input type="checkbox"/> Homeless <input type="checkbox"/> HIV/AIDS <input type="checkbox"/> Disabled	<input checked="" type="checkbox"/> Affordability	
Subrecipient Type:	Public §570.500(c)	<input type="checkbox"/> Sustainability	
Location:	Community Wide	Funding:	
Start Date	07/01/2011	CDBG	\$0
Completion Date	12/31/2012	HOME	\$57,139
		Other Resources	\$1,275,000
		Total	\$97,139

CRAWFORD-SEBASTIAN COMMUNITY DEVELOPMENT COUNCIL – CHDO ACQUIRE / REHABILITATE / RESALE 2011-R			
The acquisition of two substandard single family homes to be fully rehabilitated and brought up to current code and then sold to income eligible households.			
HUD Matrix Code:	14G Acquisition for Rehabilitation	Objectives:	
Eligibility:	§ 92.205(a)(1) Acquisition/Rehab/Resale	<input type="checkbox"/> Suitable Living Environment	
Priority Need:	Affordable Housing	<input checked="" type="checkbox"/> Decent Housing	
Specific Objective:	Increase availability of affordable owner housing.	<input type="checkbox"/> Creating Economic Opportunities	
Specific Outcomes:	Homeownership Units Acquired	Outcomes:	
Project Goals:	2 Housing Units	<input type="checkbox"/> Availability/Accessibility	
Primary Purpose:	<input type="checkbox"/> Homeless <input type="checkbox"/> HIV/AIDS <input type="checkbox"/> Disabled	<input checked="" type="checkbox"/> Affordability	
Subrecipient Type:	Public §570.500(c)	<input type="checkbox"/> Sustainability	
Location:	Community Wide	Funding:	
Start Date	07/01/2011	CDBG	\$0
Completion Date	06/30/2013	HOME	\$99,415
		Other Sources	\$0
		Total	\$99,415

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ARKANSAS VALLEY HABITAT FOR HUMANITY – LOT ACQUISITION 2011-S			
This project will acquire vacant lots to allow 4 vacant lots to allow for new construction of single family homes to be occupied by Habitat for Humanity partner families that have incomes of 30% – 60% of the Fort Smith median income.			
HUD Matrix Code:	12 Construction of Housing	Objectives: <input type="checkbox"/> Suitable Living Environment <input checked="" type="checkbox"/> Decent Housing <input type="checkbox"/> Creating Economic Opportunities	
Eligibility:	§ 92.205(a)(1) Acquisition	Outcomes: <input type="checkbox"/> Availability/Accessibility <input checked="" type="checkbox"/> Affordability <input type="checkbox"/> Sustainability	
Priority Need:	Affordable Housing		
Specific Objective:	Increase availability of affordable owner housing.		
Specific Outcomes:	Homeownership Units Acquired		
Project Goals:	4 Housing Units		
Primary Purpose:	<input type="checkbox"/> Homeless <input type="checkbox"/> HIV/AIDS <input type="checkbox"/> Disabled	Funding:	
Subrecipient Type:	Public § 570.500(c)	CDBG	\$0
Location:	Community Wide	HOME	\$32,000
Start Date	07/01/2011	Other Sources	\$228,000
Completion Date	06/30/2013	Total	\$260,000

FORT SMITH HOUSING AUTHORITY 2011-U			
The project provides for the new construction of single family affordable homes on previously acquired lots to be sold to income eligible households. The program income amount includes \$40,154 of previous CDBG funds that purchased the lots for construction. The balance is for home construction.			
HUD Matrix Code:	12 Construction of Housing	Objectives: <input type="checkbox"/> Suitable Living Environment <input checked="" type="checkbox"/> Decent Housing <input type="checkbox"/> Creating Economic Opportunities	
Eligibility:	§ 92.205(a)(1) Acquisition	Outcomes: <input type="checkbox"/> Availability/Accessibility <input checked="" type="checkbox"/> Affordability <input type="checkbox"/> Sustainability	
Priority Need:	Affordable Housing		
Specific Objective:	Increase availability of affordable owner housing.		
Specific Outcomes:	Homeownership Units Acquired		
Project Goals:	4 Housing Units		
Primary Purpose:	<input type="checkbox"/> Homeless <input type="checkbox"/> HIV/AIDS <input type="checkbox"/> Disabled	Funding:	
Subrecipient Type:	Local Government	CDBG	\$0
Location:	Community Wide	HOME	\$170,000
Start Date	07/01/2011	Program Income	\$210,154
Completion Date	06/30/2013	Total	\$380,154

HOME ADMINISTRATION			
HOME funds will be used for salaries associated with carrying out HOME projects by the City's Community Development Department. Funding includes an estimated \$4,000 in HOME Program Income			
HUD Matrix Code:	21H HOME Admin/Planning Costs of PJ (Subject to 10%) §92.207(a)	Objectives: <input type="checkbox"/> Suitable Living Environment <input type="checkbox"/> Decent Housing <input type="checkbox"/> Creating Economic Opportunities Outcomes: <input type="checkbox"/> Availability/Accessibility <input type="checkbox"/> Affordability <input type="checkbox"/> Sustainability	
Eligibility:	N/A		
Priority Need:	Planning & Administration		
Specific Objective:	N/A		
Specific Outcomes:	N/A		
Project Goals:	N/A		
Primary Purpose:	<input type="checkbox"/> Homeless <input type="checkbox"/> HIV/AIDS <input type="checkbox"/> Disabled	Funding:	
Subrecipient Type:	Local Government	CDBG	\$0
Location:	Community Wide	HOME	\$57,229
Start Date	07/01/2011	Other Sources	\$0
Completion Date	06/30/2012	Total	\$57,229

HOME UNPROGRAMMED FUNDS			
Unprogrammed funds due to estimated HOME allocation for Program Year 2011.			
HUD Matrix Code:	22 Unprogrammed Funds	Objectives: <input type="checkbox"/> Suitable Living Environment <input type="checkbox"/> Decent Housing <input type="checkbox"/> Creating Economic Opportunities Outcomes: <input type="checkbox"/> Availability/Accessibility <input type="checkbox"/> Affordability <input type="checkbox"/> Sustainability	
Eligibility:	N/A		
Priority Need:	N/A		
Specific Objective:	N/A		
Specific Outcomes:	N/A		
Project Goals:	N/A		
Primary Purpose:	<input type="checkbox"/> Homeless <input type="checkbox"/> HIV/AIDS <input type="checkbox"/> Disabled	Funding:	
Subrecipient Type:	N/A	CDBG	\$0
Location:	N/A	HOME	\$7,101
Start Date	N/A	Other Sources	\$0
Completion Date	N/A	Total	\$7,101

FORT SMITH HOUSING ASSISTANCE			
The rehabilitation of 6 income eligible owner-occupied homes, one reconstruction, materials only for 30 homes through World Changes and 20 emergency repairs. Program Income for Program Year 2011 is estimated in the amount of \$40,000 for this activity in the other resources amount below.			
HUD Matrix Code:	14A Rehab; Single-Unit Residential §570.202	Objectives:	
Eligibility:	§570.208(a)(3) – Low/Mod Housing	<input type="checkbox"/> Suitable Living Environment	
Priority Need:	Affordable Housing	<input checked="" type="checkbox"/> Decent Housing	
Specific Objective:	Improve the quality of affordable housing	<input type="checkbox"/> Creating Economic Opportunities	
Specific Outcomes:	Owner occupied units rehabilitated or improved	Outcomes:	
Project Goals:	56 housing units	<input type="checkbox"/> Availability/Accessibility	
Primary Purpose:	<input type="checkbox"/> Homeless <input type="checkbox"/> HIV/AIDS <input type="checkbox"/> Disabled	<input checked="" type="checkbox"/> Affordability	
Subrecipient Type:	Local Government	<input type="checkbox"/> Sustainability	
Location:	Community Wide	Funding:	
Start Date	07/01/2011	CDBG	\$206,391
Completion Date	12/31/2012	HOME	\$0
		Other Sources	\$40,000
		Total	\$246,391

FORT SMITH HOUSING REHABILITATION ADMINISTRATION			
This activity is a direct cost to carry out the Program Year 2011 Fort Smith Housing Assistance Program. The charges are directly related to rehabilitating single family owner-occupied, income eligible client housing.			
HUD Matrix Code:	14H Rehabilitation Administration §570.202	Objectives:	
Eligibility:	§570.208(a)(3) – Low/Mod Housing	<input type="checkbox"/> Suitable Living Environment	
Priority Need:	Affordable Housing	<input checked="" type="checkbox"/> Decent Housing	
Specific Objective:	N/A	<input type="checkbox"/> Creating Economic Opportunities	
Specific Outcomes:	N/A	Outcomes:	
Project Goals:	N/A	<input checked="" type="checkbox"/> Availability/Accessibility	
Primary Purpose:	<input type="checkbox"/> Homeless <input type="checkbox"/> HIV/AIDS <input type="checkbox"/> Disabled	<input type="checkbox"/> Affordability	
Subrecipient Type:	Local Government	<input type="checkbox"/> Sustainability	
Location:	Community Wide	Funding:	
Start Date	07/01/2011	CDBG	\$50,000
Completion Date	12/31/2012	HOME	\$0
		Other Sources	\$0
		Total	\$50,000

V. One Year Action Plan

CDBG ADMINISTRATION			
This activity provides for the administration of the Program Year 2011 CDBG grant program Program Income for Program Year 2011 is estimated in the amount of \$8,000 for this activity in the other resources amount below.			
HUD Matrix Code:	21A General Program Administration §570.206	Objectives: <input type="checkbox"/> Suitable Living Environment <input type="checkbox"/> Decent Housing <input type="checkbox"/> Creating Economic Opportunities	
Eligibility:	§570.208(a)(2) Low/Mod Limited Clientele	Outcomes: <input type="checkbox"/> Availability/Accessibility <input type="checkbox"/> Affordability <input type="checkbox"/> Sustainability	
Priority Need:	Planning & Administration		
Specific Objective:	N/A		
Specific Outcomes:	N/A		
Project Goals:	N/A		
Primary Purpose:	<input type="checkbox"/> Homeless <input type="checkbox"/> HIV/AIDS <input type="checkbox"/> Disabled	Funding:	
Subrecipient Type:	Local Government	CDBG	\$134,943
Location:	Community Wide	HOME	\$0
Start Date	07/01/2011	Other Sources	\$8,000
Completion Date	06/30/2012	Total	\$142,943

CDBG UNPROGRAMMED			
Unprogrammed CDBG funding for Program Year 2011.			
HUD Matrix Code:	22 Unprogrammed Funds	Objectives: <input type="checkbox"/> Suitable Living Environment <input type="checkbox"/> Decent Housing <input type="checkbox"/> Creating Economic Opportunities	
Eligibility:	N/A	Outcomes: <input type="checkbox"/> Availability/Accessibility <input type="checkbox"/> Affordability <input type="checkbox"/> Sustainability	
Priority Need:	N/A		
Specific Objective:	N/A		
Specific Outcomes:	N/A		
Project Goals:	N/A		
Primary Purpose:	<input type="checkbox"/> Homeless <input type="checkbox"/> HIV/AIDS <input type="checkbox"/> Disabled	Funding:	
Subrecipient Type:	N/A	CDBG	\$16,869
Location:	N/A	HOME	\$0
Start Date	N/A	Other Sources	\$0
Completion Date	N/A	Total	\$16,869

Not

1.

RESOLUTION NO. R-57-11

A RESOLUTION APPROVING THE YEAR 37 COMMUNITY DEVELOPMENT BLOCK GRANT BUDGET, THE YEAR 18 HOME INVESTMENT PARTNERSHIP ACT PROGRAM BUDGET, AUTHORIZING THE SUBMISSION OF THE PROGRAM YEAR 2011 ACTION PLAN AND FOR OTHER PURPOSES

WHEREAS, it is the intention of the Board of Directors of the City of Fort Smith to allocate Community Development Block Grant (CDBG) funds in such a manner that the maximum feasible priority is given to activities which will benefit low to moderate income families or persons and eliminate slum and blight.

WHEREAS, it is the intention of the Board of Directors of the City of Fort Smith to allocate HOME Investment Partnership Act (HOME) funds in such a manner as to provide affordable housing opportunities to low and moderate income persons or families.

WHEREAS, there is a total of \$674,715 estimated for Year 37 funds allocated to the CDBG Program for budgetary purposes; and

WHEREAS, there is a total of \$522,299 estimated in Year 18 funds allocated to the HOME Program for budgetary purposes; and

WHEREAS, there are additional funds for the Year 36 CDBG program to be reprogrammed;

NOW THEREFORE BE IT RESOLVED BY THE BOARD OF DIRECTORS OF THE CITY OF FORT SMITH, ARKANSAS THAT:

SECTION 1: The Year Thirty-seven CDBG budget totaling six hundred, seventy-four thousand, seven hundred and fifteen dollars (\$674,715) for budgeting purposes is adopted by reference to the attached budget sheet.

SECTION 2: The Year Eighteen HOME Program budget totaling five hundred, twenty-two thousand, two hundred and ninety-nine dollars (\$522,299) for budgeting purposes is adopted by reference to the attached budget sheet.

SECTION 3: The in-house Affirmative Action Policy which prescribes equal opportunity policies for the recruitment, hiring, training, promoting, demotions and termination of employees, with personnel goals revised annually, as stated in Resolution No. R-7-81 is reaffirmed.

*Approved as to form
JSC
No publication required*

- SECTION 4:** A Year Thirty-seven CDBG Affirmative Action Plan is adopted to provide contractual procedures with regard to equal opportunity personnel policies on the part of CDBG project contractors.
- SECTION 5:** The Fair Housing Program/Policy of the City of Fort Smith as stated in Resolution No. 2214, is reaffirmed to affirmatively further Fair Housing practices in the sale, lease or rental of housing and to prevent discrimination on the basis of race, color, religion, national origin, sex, disability or familial status and to provide a procedure and Fair Housing Officer to assist and educate the public on their rights and procedures available to have complaints reviewed, investigated and resolved.
- SECTION 6:** The Director of Community Development is authorized to prepare and submit the P. Y. 2011 Action Plan to carry out the activities/projects identified in the 2011-2015 Five-Year Consolidated Plan and the amendment to the P. Y. 2010 Action Plan to the U. S. Department of Housing and Urban Development (HUD) for review and approval. Once the approvals by HUD have occurred, the Mayor is authorized to execute agreements, his signature being attested by the City Clerk, with the agencies identified in the P. Y. 2011 Community Development Department Budget as application numbers 2011-A through 2011-U to undertake the activities/projects in the Action Plan.
- SECTION 7:** All approved agencies of CDBG and HOME funds will use the city's procurement procedures for any services or contracts.
- SECTION 8:** Any CDBG project not commenced by June 30, 2012 will be canceled and the project funding will transfer to the Fort Smith Housing Assistance Program #8722. All CDBG program income from prior projects will transfer to the Fort Smith Housing Assistance Program #8722 and the allowed 20% for program administration will be deducted prior to the transfer.
- SECTION 9:** Any HOME project not commenced by June 30, 2013 will be canceled and the funding will transfer to the Downpayment Assistance Program #8503 with the exception of CHDO Reserve funds. All HOME program income received by the City will transfer to the Downpayment Assistance Program # 8503 with the allowed 10% for program administration deducted prior to the transfer. HOME recaptured funds will be used according to federal regulations.
- SECTION 10:** Any funds in excess of the estimated allocations for the Year 37 CDBG and Year 18 HOME programs will be placed in the appropriate unprogrammed category and allocated in a future public hearing with the Community Development Advisory Committee for recommendations for the Board of Directors.

SECTION 11: Any funding reductions from the Dept. of HUD less than the planned amounts in this resolution and attached budget will cause each project/activity to take a reduction by the same percentage amount that the funds were reduced.

SECTION 12: Subject to approval of form by the City Attorney, the City Administrator or his authorized agent is authorized to execute any documents related to real property transactions that are funded with the CDBG and/ or HOME funds, this includes but is not limited to deeds, mortgage releases, subordination agreements and participation in HUD's FHA Good Neighbor Program.

SECTION 13: The City Administrator is authorized to execute all grant documents and certifications required by the U. S. Department of Housing and Urban Development. The City Administrator or his authorized agent will be designated as the Environmental Certifying Officer.

SECTION 14: Any activity that is proposed to be altered in terms of its purpose, scope, location, or beneficiaries to such an extent that it can no longer reasonably be construed as the activity reviewed by the public and approved by the Fort Smith Board of Directors will be canceled.

THIS RESOLUTION APPROVED THIS 5th DAY OF APRIL 2011.

APPROVED:


MAYOR

ATTEST:


CITY CLERK

V. One Year Action Plan

Program Year 2011 Community Development Budget				
Application Letter	Agency	CDBG Year 36	CDBG Year 37	HOME Year 18
2011-A	Next Step Day Room - SH	\$32,236	\$101,207	
2011-B	Hannah House			
PS 2011-C	Good Samaritan Clinic		\$19,125	
PS 2011-D	Community Services Clearinghouse		\$6,800	
PS 2011-E	Next Step Day Room - Esther House		\$4,500	
PS 2011-F	Next Step Day Room - Case Mgmt.		\$16,650	
PS 2011-G	Heart to Heart Pregnancy Support		\$7,050	
PS 2011-H	Lincoln Childcare Center		\$12,000	
PS 2011-I	Westark RSVP - Medicare Counseling		\$6,000	
PS 2011-J	Westark RSVP - Tax Assistance		\$8,000	
PS 2011-K	Fountain of Youth		\$4,382	
PS 2011-L	CSCDC - Credit Counseling		\$3,200	
PS 2011-M	Community Dental Clinic		\$13,500	
2011-N	Hannah House - Roof			
2011-O	Bost, Inc.		\$26,000	
2011-P	Lend-A-Hand, Inc. - Chaffee		\$38,098	
2011-Q	CSCDC - Downpayment Assistance			\$57,139 DPA
2011-R	CSCDC - CHDO - Acq/Rehab/Resale			\$99,415 CR
2011-S	Arkansas Valley Habitat for Humanity			\$32,000
2011-T	Lend-A-Hand, Inc. - CHDO - Chaffee			\$104,415 CR
2011-U	Fort Smith Housing Authority			\$170,000
	Fort Smith HOME Administration			\$52,229
	HOME Un-programmed			\$7,101
	Fort Smith Housing Assistance		\$206,391	
	Fort Smith Housing Rehab. Admin.		\$50,000	
	Fort Smith CDBG Administration		\$134,943	
	CDBG Un-programmed		\$16,869	
	TOTALS	\$32,236	\$674,715	\$522,299

CHDO = Community Housing Development Organization

CR = CHDO Reserve DPA = Downpayment Assistance

APPENDIX A – CITIZEN PARTICIPATION PLAN

Introduction

In 1994, the U.S. Department of Housing and Urban Development (HUD) issued new rules consolidating the planning, application, reporting and citizen participation processes of four formula grant programs: Community Development Block Grants (CDBG), Home Investment Partnerships (HOME), Emergency Shelter Grants (ESG) and Housing Opportunities for Persons with AIDS (HOPWA). The new single-planning process was intended to more comprehensively fulfill three basic goals: to provide decent housing, to provide a suitable living environment and to expand economic opportunities.

Provision of decent housing may involve assisting homeless persons in obtaining appropriate housing, retaining the affordable housing stock, increasing the availability of permanent affordable housing for low-income households without discrimination, and / or increasing supportive housing to assist persons with special needs. Providing a suitable living environment might entail improving the safety and livability of neighborhoods, including the provision of adequate public facilities; deconcentrating housing opportunities and revitalizing neighborhoods; restoring and preserving natural and physical features with historic, architectural, and aesthetic value; and conserving energy resources. Expanding economic opportunities can involve creation of accessible jobs, providing access resources for community development, and assisting low-income persons to achieve self-sufficiency.

The Consolidated Plan is actually a three-part planning process required by HUD. It comprises developing a five-year strategic plan, preparing annual action plans and submitting annually performance reports. These three parts are intended to furnish the framework whereby the City of Fort Smith can identify its housing, homeless, community, and economic development needs, identify resources that will be tapped and actions to be taken that will address the needs, as well as look back and evaluate the City's progress toward achieving its strategic goals. Completing these documents on time and in a manner that is acceptable to HUD ensures program funding.

The precursor to the Consolidated Plan is the Citizen Participation Plan (CPP). The objectives of the CPP are to ensure that the citizens of Fort Smith, particularly persons of low- and moderate-income, persons living in slum and blight areas, units of local government, housing agencies and other interested parties, are provided with the opportunity to participate in the planning and preparation of the Consolidated Plan, including amendments to the Consolidated Plan and the Annual Performance Report. In doing so, the CPP sets forth general policies and procedures for implementing and carrying out the Consolidated Planning process, such as how the Consolidated Plan will be developed, dates and milestones along which the process will proceed, and methods for citizens to offer the City of Fort Smith assistance and guidance in the formulation of the Consolidated Plan. Furthermore, the provisions of the CPP fulfill statutory and regulatory requirements for citizen participation specified in the U.S. Department of Housing and Urban Development's rules for the Consolidated Plan, the HOME Investment Partnerships (HOME) Program, the Community Development Block Grant (CDBG) Program, the Emergency Shelter Grants (ESG) Program and the Housing Opportunities for Persons with AIDS (HOPWA) Program. In the City of Fort Smith, the Community Development Department administers CDBG and HOME Investment Partnership Act funds. The Community Development Department is the lead agency for developing the Consolidated Plan.

In order to ensure maximum participation in the Consolidated Planning process among all populations and needs groups, and in order to ensure that their issues and concerns are adequately addressed, the Director of Community Development will follow the standards set forth in its adopted CPP during development of its Consolidated Plan, Action Plan and Annual Performance Report.

Encouraging Citizen Participation

The Consolidated Plan is designed to enumerate the City of Fort Smith's overall strategy for coordinating federal and other housing and community development resources to provide decent housing, establish and maintain a suitable living environment, and expand economic opportunities, particularly for low- and moderate-income persons.

Interested groups and individuals are encouraged to provide input into all aspects of Fort Smith's Consolidated Planning activities, from assessing needs to setting priorities through performance evaluation. By following the CPP, numerous opportunities for citizens to contribute information, ideas and opinions about ways to improve our neighborhoods, promote housing affordability and enhance the delivery of public services to local residents will occur.

The City of Fort Smith is committed to keeping all interested groups and individuals informed of each phase of the Consolidated Planning process and of activities being proposed or undertaken under HUD formula grant programs. Opportunities to comment on or participate in planning community development and affordable housing activities and projects will be publicized and disseminated throughout the City of Fort Smith.

Public Hearings and Meetings

The City will conduct at least two (2) public hearings to obtain citizens' views and to respond to proposals and questions. The hearings will take place at different stages of the Consolidated Planning process. At least one will occur prior to development of the Draft Plan and will be intended to solicit public input regarding distinct issues, thereby aiding policy formation. At least one more will occur after the Draft Plan has been released for public review, allowing interested parties an opportunity to review the strategies and how they were developed, designed and presented.

Information about the time, location and subject of each hearing will be provided to citizens at least seven (7) calendar days in advance through adopted public notice and outreach procedures. This notification will be disseminated to local governments and other interested parties. Public notification of the hearings will be published in the local newspaper. Community Development Department staff may also attend other meetings and conventions in the City of Fort Smith throughout the year, thereby providing an opportunity for additional public information on the Consolidated Plan.

Every effort will be made to ensure the public hearings are inclusive. Hearings will be held at convenient times and locations and in places where people most affected by proposed activities can attend. The Director of Community Development will utilize hearing facilities that are accessible to persons with mobility impairments. If written notice is given at least seven (7) days before a hearing date, the City of Fort Smith will provide appropriate materials, equipment and interpreting services to facilitate the participation of non-English speaking persons and persons with visual and / or hearing impairments. Interpreters will be provided at public hearings where a significant number of non-English speaking residents can be reasonably expected to participate. All public hearings and public meetings associated with the Consolidated Planning process will conform to applicable Arkansas open meeting laws.

However, the Director of Community Development may, at its discretion, actively solicit input on housing and community development issues in during the course of the year with other forums, town hall meetings and other venues, as they may present themselves.

Publication of Consolidated Plan Documents

The Director of Community Development will publish the Draft Consolidated Plan for public review in a manner that affords citizens, public agencies and other interested parties a reasonable opportunity to examine its contents and submit comments. The Draft Plan will be a complete document and shall include:

- The amount of assistance the City of Fort Smith expects to receive; and,
- The range of activities that may be undertaken, including the estimated amount that will benefit persons of low- and moderate-income.

A succinct summary of the Draft Plan will be published in a newspaper of general circulation at the beginning of the public comment period. The summary will describe the contents and purpose of the Consolidated Plan (including a summary of specific objectives) and include a list of the locations where copies of the entire proposed Consolidated Plan may be obtained or examined. The following are among the locations where copies of the public comment Draft Plan will be made available to inspections:

- Community Development Department located at 623 Garrison Ave.; Room 331
- Public Library located at 3201 Rogers Avenue; and,
- Windsor, Dallas and Miller Branch Libraries
- City of Fort Smith’s website at www.fortsmithar.gov

Citizens and groups may obtain a reasonable number of free copies of the proposed Consolidated Plan by contacting the Director of Community Development at (479) 784-2209 or the document may be downloaded from the City’s website at www.fortsmithar.gov

Public Comments on the Draft Consolidated Plan and Annual Action Plans

The City of Fort Smith’s Community Development Department, as lead agency, will receive comments from citizens on its Draft Plan for a period not less than thirty (30) days prior to submission of the Consolidated Plan or Annual Action Plans to HUD. The Draft Annual Action Plan will be scheduled for release in April of each year.

All comments or views of citizens received in writing during the thirty (30) day comment period will be considered in preparing the final Consolidated Plan. A summary of these comments or views and a summary of any comments or views not accepted and the reasons therefore shall be attached to the final Consolidated Plan.

Public Notice and Outreach

An informed citizenry is critical to effective and responsive housing and community development programs. Efforts to educate residents and empower their participation are an ongoing element of the Consolidated Planning process.

As the fundamental means of notifying interested citizens about the Consolidated Plan and related activities, such as the Annual Action Plan or the Consolidated Annual Performance and Evaluation Report, the Director of Community Development will utilize advertisement notices in newspapers of general circulation. Such notices will be published at least seven (7) calendar days prior to public hearings. All notices will be written in plain, simple language and direct efforts will be undertaken to publish and / or post information at locations that will elicit maximum low- and moderate-income and minority participation.

Public education and outreach will be facilitated through the use of Public Advertisements that describe the Consolidated Planning process, opportunities for citizen participation and available funding through the CDBG and HOME program. The City's Consolidated Plan mailing list will likely include social service organizations, local jurisdictions, low- income housing consumers, neighborhood groups, previous participants and commentators, and others expected to desire input on the Plan. This list is updated periodically and is available for inspection at the Community Development Department.

Technical Assistance

Groups or individuals interested in obtaining technical assistance to develop project proposals or applying for funding assistance through HUD formula grant programs covered by the Consolidated Plan may contact the Director of Community Development. Such assistance may be of particular use to community development organizations, non-profit service providers, and for-profit and non-profit housing development groups that serve or represent persons of low- and moderate-income. Pre-application workshops offer basic program information and materials to potential project sponsors, and the Director of Community Development will provide in-depth guidance and assistance to applicants and program participants on an on-going basis. Emphasis is placed on capacity development of community-based organizations.

Amendments to the Consolidated Plan

Pursuant to HUD regulations, an amendment to the Consolidated Plan is required whenever the Jurisdiction determines to:

- Substantially change the allocation priorities or its method of distributing HUD formula grant funds;
- Utilize formula grant funds (including program income) to carry out activities not previously described in the Action Plan; and,
- Change the purpose, scope, location or beneficiaries of an activity.

Such changes, prior to their implementation, are reviewed under various federal or local requirements, particularly rules on procurement and / or policies on the allocation of public resources. Substantial amendments to the Consolidated Plan are, in addition, subject to a formal citizen participation process. Notice and the opportunity to comment will be given to citizens through public notices in local newspapers and other appropriate means, such as direct mail or public meetings. A public comment period not less than thirty (30) days will be provided prior to implementing any substantial amendment to the Consolidated Plan. The Director of Community Development will prepare a summary of all comments received in writing and, in cases where any citizens' views are not accepted, provide reasons for the decision. This documentation will be attached to the substantial amendment, which will be available to the public and submitted to HUD.

Substantial Amendments

Occasionally, public comments warrant an amendment to the Consolidated Plan. The criteria for whether to amend is referred to by HUD as Substantial Amendment Criteria. The following conditions are considered to the "Substantial Amendment Criteria":

1. Any change in the described method of distributing funds to local governments or non-profit organizations to carry out activities. Elements of a "method of distribution" are:
 - A. Application process for non-profits;
 - B. Allocation among funding categories;

- C. Grant size limits; and,
- D. Criteria selection.

2. An administrative decision to reallocate all the funds allocated to an activity in the Action Plan to other activities of equal or lesser priority need level, unless the decision is a result of:

- A. Federal government recall of appropriated funds, or appropriates are so much less than anticipated that the City makes an administrative decision not to fund one or more activities; or
- B. The governor declares a state of emergency and reallocates federal funds to address the emergency; and,
- C. A unique economic development opportunity arises where the City administration asks that federal grants be used to take advantage of the opportunity.

Citizen Participation in the Event of a Substantial Amendment

In the event of a substantial amendment to the Consolidated Plan, the Director of Community Development, depending on the nature of the amendment, will conduct at least one additional public hearing. This hearing will follow a comment period of no less than thirty (30) days, where the proposed substantially amended Consolidated Plan will be made available to interested parties. Citizens will be informed of the public hearing through newspaper notification prior to the hearing, and the notice will appear in at least one newspaper which is circulated city-wide.

Citizens will be notified of the substantially amended Consolidated Plan's availability through newspaper notification prior to the thirty (30) day substantially amended Consolidated Plan comment period. The notification will appear in at least one newspaper that is circulated throughout the City. The substantially amended sections of the Consolidated Plan will be available on the City of Fort Smith's website, www.fortsmithar.gov for the full public comment period.

Consideration of Public Comments on the Substantially Amended Plan

In the event of substantial amendments to the Consolidated Plan, the City will openly consider any comments on the substantially amended Consolidated Plan from individuals or groups. Comments must be received in writing or at public hearings. A summary of the written and public hearing comments on the substantial amendments will be included in the final Consolidated Plan. Also included in the final Consolidated Plan will be a summary of all comments not accepted and their reasons for dismissal.

Changes in Federal Funding Level

Any changes in federal funding level after the Consolidated Plan's draft comment period has expired and the resulting effect on the distribution of funds will not be considered an amendment or a substantial amendment.

Standard Amendments

"Standard amendments" are those that are not considered substantial in nature and pertain chiefly to minor administrative modifications of the programs. Thus they do not require in-depth citizen participation.

Annual Performance Reports

Performance reports on programs covered by the Consolidated Plan are to be prepared by the Director of Community Development for annual submission to HUD within ninety (90) days of the start of each program year. Draft performance reports will be made available upon written request.

The draft performance report will be available for comment for no less than fifteen (15) days, and any public comments received in writing will be reported in an addendum to the final performance report.

Access to Records

To the extent allowed by law, interested citizens and organizations shall be afforded reasonable and timely access to records covering the preparation of the Consolidated Plan, project evaluation and selection, HUD's comments on the Plan and annual performance reports. In addition, materials on formula grant programs covered by the Consolidated Plan, including activities undertaken in the previous five (5) years, will be made available to any member of the public who request information from the Community Development Department. A complete file of citizen comments will also be available for review by interested parties. After receiving notice of HUD's approval of its Consolidated Plan, the Director of Community Development will inform those on its mailing list of the availability of the final Plan document and of any HUD comments on the Consolidated Plan.

Complaints and Grievances

Citizens, administering agencies and other interested parties may submit complaints and grievances regarding the Consolidated Plan. Complaints should be in writing, specific in their subject matter, and include facts to support allegations. The following are considered to constitute complaints to which a response letter is due:

- The administering agency has purportedly violated a provision of this Citizen Participation Plan;
- The administering agency has purportedly violated a provision of federal CDBG or HOME program regulations; and,
- The administering agency, or any of its contractors, has purportedly engaged in questionable practices resulting in waste, fraud or mismanagement of any program funds.

Residents may also present complaints and grievances orally or in writing at the community meetings and / or public hearings. All public comments, including complaints and grievances, made either orally or in writing with the thirty (30) day public comment period, will be included in the final Consolidated Plan. Such complaints or grievances shall be directed to the Consolidated Plan representative, the City's Director of Community Development.

Timely Response to Complaints or Grievances

Upon receipt of a written complaint, the Director of Community Development shall respond to the complainant within fifteen (15) calendar days and maintain a copy of all related correspondence, which will be subject to Development Services Department review.

Within fifteen (15) calendar days of receiving the complaint, the Director of Community Development shall discuss the matter with the Director of Development Services and respond to the complainant in writing. A copy of the response from the Director of Community Development will be transmitted, concurrently, to the complainant and to the Director of Development Services. If, due to unusual circumstances, the Director of Community Development finds that he / she is unable to meet the prescribed time limit, the limit may be extended by written notice to the complainant. The Director of Community Development's notice must include the reason for the extension and the date on which a response is expected to be generated, which may be based on the nature and complexity of the complaint.

Public review materials and performance reports will include data, as appropriate under confidentiality regulations, on any written complaints received and how each was resolved.

Activities Exempt from Substantial Amendment Citizen Participation Requirements

Urgent Needs

It may be necessary to amend the Consolidated Plan in the event of an emergency such as a natural disaster. These amendments may include funding new activities and / or the reprogramming of funds including canceling activities to meet community development needs that have a particular urgency. Therefore, the City of Fort Smith, acting through the Community Development Department may utilize its CDBG or HOME funds to meet an urgent need without the normal public comment period, which is otherwise required for substantial amendments.

To comply with the national objective of meeting community development needs having a particular urgency, an activity will alleviate existing conditions that the City of Fort Smith certifies:

- Pose a serious and immediate threat to the health and welfare of the community;
- Are of recent origin or recently became urgent;
- The City and the Community Development Department are unable to finance the activity on their own; and,
- Other resources of funding are not available to carry out the activity.

A condition will generally be considered to be of recent origin if it is developed or became critical within eighteen (18) months preceding the Community Development Department's certification.

Availability of the Citizen Participation Plan

Copies of the Citizen Participation Plan may be obtained by contacting the Director of Community Development at (479) 784-2209 or on the City's website at www.fortsmithar.gov. Upon request, the Director of Community Development will make the Citizen Participation Plan available in an alternative format accessible to persons with disabilities.

Appendix B – Public Involvement Process

The public involvement process followed the requirements specified in the Citizen Participation Plan, as noted in Appendix A. However, the following narrative and exhibits provide additional information about the outreach, notification, and public involvement opportunities offered to the citizen of Fort Smith in the development of the *2011 Annual Action Plan for Housing and Community Development*.

NOTICE OF FUNDING AVAILABILITY / REQUEST FOR PROPOSALS

On January 18, 2011, the City of Fort Smith published in the legal notices of the TIMES RECORD, a “Notice of Funding Availability”, as well as mailed out the notices to everyone on the 2011 Citizen Participation List and electronically sent them to the email addresses on the CDBG/HOME Notices group. An application workshop was held on Wednesday, February 2, 2011 and an additional one due to inclement weather for those not able to attend the one on February 2, 2011.

PUBLIC HEARINGS

Once applications were accepted and reviewed by the City staff members, they were delivered to the Community Development Advisory Committee (CDAC) and then three public hearings were held on Thursday, March 10, 2011 at 9:00 am, 2:00 pm and 5:30 pm at the Elm Grove Community Center.. Funding recommendations were formulated by CDAC to the Fort Smith Board of Directors. These recommendations were present to the Board of Directors on Tuesday, March 22, 2011 at 12 noon in Study session. The final public hearing was held before the Board of Directors on April 5, 2011 at 6:00 pm in the Fort Smith Public Schools Service Center located at 3205 Jenny Lind in Building “B”. One comment was made praising the CDAC, Board and City staff for the professional manner in which the business was conducted with the limited and uncertain funding. The commenter went on to praise the decision to fund a supportive housing project that will also assist military veterans in addition to other males that are homeless and in need of the next step with the goal of moving them to eventually find permanent housing.

ADVERTISEMENT DOCUMENTATION

Documentation of the advertising efforts in relation to community outreach regarding the Annual Action Plan process, including the notices and the public input opportunities, are presented on the following pages.

Cust:
Ad: 100524792
CDBG

**NOTICE OF FUNDING AVAILABILITY
CDBG and HOME Programs
Program Year 2011**

The City of Fort Smith Community Development Department will accept applications for Year 37 Community Development Block Grant Funds (\$882,365*), and for Year 18 HOME Community Development Block Grant Funds (\$525,519*) from Tuesday, January 18, 2011 through 4:00 p.m. Friday, February 18, 2011. Applications, also known as "Request for Proposals", must meet at least one of the strategies within the 2011-2015 Consolidated Plan established by the Fort Smith Board of Directors to meet the needs of our low to moderate income citizens.

All potential applicants must attend the appropriate workshop on Wednesday, February 2, 2011 at 9:00 a.m. for the HOME Program and 10:30 a.m. for the CDBG Program located in the Oak Room of the Elm Grove Community Center located at 1901 Greenwood Avenue in Martin Luther King, Jr. Park. Pick up an application(s) any time prior to the workshop. This will allow ample opportunity to begin reviewing, gathering documentation and preparing prior to the workshop.

Public Hearings will be held by the Community Development Advisory Committee (CDAC) on Thursday, March 10, 2011 at 9:00 a.m., 2:00 p.m., and 5:30 p.m. at the Elm Grove Community Center - Oak Room located at 1901 Greenwood Avenue in Martin Luther King Park. The Fort Smith Board of Directors will hold a study session on Tuesday, March 22, 2011 at 12:00 Noon in the Community Room of the Main Library, 3201 Rogers Avenue. A Final Public Hearing will be held on Tuesday, April 5, 2011 at 6:00 p.m. in the Fort Smith School Service Center, 3205 Jenny Lind, Building "B." The purpose of these public hearings is to receive input on proposed funding requests, CDAC recommendations and CDBG/HOME performance.

The meeting locations are accessible. Should you have any questions, need Interpreter services or other accommodations, please contact Jamie Fout, Community Development Coordinator or Matt Jennings, Director of Community Development at 479-784-2209, forty-eight (48) hours in advance of the meeting so that arrangements can be made. The City of Fort Smith is an Equal Opportunity Employer.

* These amounts are estimated because the federal government is operating on a continuing resolution. Once the federal budget has been adopted and the city has been notified of grant amounts for both programs, adjustments will be made, if necessary, prior to the final public hearing.



Inside Sales Manager of the Times Record, a privilege, and being not less than four pages of five and at fixed daily intervals continuously in the more than a period of twelve months, circulated to subscribers and readers generally of all rate price for each copy, or a fixed price per annum, the publication based upon the news service value subscribers thereto have paid cash for their subscription news dealers, over a period of at least six page of more than forty percent news matter. The d, Franklin, Johnson, Logan, Polk, Scott and

re to attached in the matter of:

AVAILABILITY

of said newspaper for consecutive insertions commencing on Tuesday the 18th day of January 2011, and concluding on Friday the 28th day of January 2011 for the following dates:

01/18/11, 01/28/11

for a total cost of: \$ 581.00



Radonna Taylor
Radonna Taylor

Sworn before me on the 3rd day of January, 2011

Johnnie L. Swain
Notary Public
My commission expires: 1-11-2013

All COBG/HOME NOTICES for Program Year 2011 were direct mailed to the following Citizen Participation List. *Matt J.*

David Harris
2025 South "V" St.
Fort Smith, AR 72901

St. Edward Foundation
P.O. Box 17000
Fort Smith, AR 72917

Lynn Ellison
Fort Smith School Systems
3205 Jenny Lind Road
Fort Smith, AR 72901

Jay Burk
Fort Smith Fights Aids, Inc.
P.O. Box 3552
Fort Smith, AR 72913

Nenya Perry
Community Dental Clinic
P.O. Box 4069
Fort Smith, AR 72914

Jerry Glidewell
Fort Smith Boys/ Girls Club
4905 N. "O" St.
Fort Smith, AR 72904

Mark Whitmer, E. D.
CSCDC
P.O. Box 4069
Fort Smith, AR 72914

Ken Pyle, Executive Director
Fort Smith Housing Authority
2100 N. 31st Street
Fort Smith, AR 72904

Jack Moffett
BOST
P. O. Box 11495
Fort Smith, AR 72917

Gateway House
3900 Armour Ave.
Fort Smith, AR 72904

Keith Bradley
Lincoln Youth Service Center
1422 N. 8th St.
Fort Smith, AR 72901

Wanda Freeman
Times Record
P.O. Box 1359
Fort Smith, AR 72902

KFSM- TV
Channel 5 News
P. O. Box 369
Fort Smith, AR 72902

KHBS-KHOG TV
Channel 40/29 News
2415 N. Albert Pike Ave.
Fort Smith, AR 72904

KPBI- TV
Channel 46 News
510 N. Greenwood Ave.
Fort Smith, AR 72901

Golden Rule Clothes Closet
212 N. 3rd St.
Fort Smith, AR 72901

Rev. Queen Ottawa
Community Action
2415 Albert Pike Ave.
Fort Smith, Ar 72903

Tom Minton
United Way Agencies
P.O. Box 2300
Fort Smith, AR 72902

Allene Stafford
D.S.T., Inc.
1420 N. 55th Terr.
Fort Smith, AR 72904

Linda Gabriel
Next Step Day Room
P.O. Box 3814
Fort Smith, AR 72913

Cindy Crawford
Hannah House
P.O. Box 1672
Fort Smith, AR 72902

Monica McKinney
Girls, Inc.
1415 Old Greenwood Rd.
Fort Smith, AR 72901

Jennifer Kistler
Kistler Center
3304 S. "M" St.
Fort Smith, AR 72901

Area Agency on Aging
524 Garrison Avenue
Fort Smith, AR 72901

April Moore
Heart to Heart Pregnancy Center
216A N. Greenwood Ave.
Fort Smith, AR 72901

Charles Logan
First National Bank
P.O. Box 7
Fort Smith, AR 72901

Jane Stewart
Howard Elementary
1301 N. 8th St.
Fort Smith, AR 72901

Bruce Singleton
Literary Council of Western Ark
P.O. Box 423
Fort Smith, AR 72902-0423

Clay Roper
Childrens' Emergency Shelter
3015 S. 14th St.
Fort Smith, AR 72901

Jay Poppe
Ark. Valley Habitat for Humanity
P.O. Box 754
Fort Smith, AR 72901

Appendix B – Public Involvement Process

AR Sleepers
2809 Koller St.
Fort Smith, AR 72904

Brenda Hook
Good Samaritan Clinic
615 N. "B" St.
Fort Smith, Arkansas 72901

Kent Jones
P.O. Box 11495
Fort Smith, Arkansas 72917

Mr. Rick Foti
Community Services Clearinghouse
P.O. Box 1522
Fort Smith, Arkansas 72902

Juneteenth Planning Commission
Greg Herschel
P.O. Box 8083
Fort Smith, Arkansas 72902

WAPDD
P.O. Box 2067
Fort Smith, Arkansas 72902

Mr. Greg Hershel
King Solomon CDC
4400 N. "N" St.
Fort Smith, Arkansas 72904

Mary Alink
Harbor House
P.O. Box 4207
Fort Smith, Arkansas 72914

The Darby Foundation
P.O. Box 1625
Fort Smith, Arkansas 72902

Reverend U. C. Washington
Lend-A-Hand
P.O. Box 811
Fort Smith, Arkansas 72902

Living Hope
Gwen Scamardo
1908 N. Davis
Lavaca, Arkansas 72941

Robbin Flippin
St. John's Sack Lunch Program
4628 Free Ferry Road
Fort Smith, Arkansas 72903

Fountain of Youth Adult Daycare
2409 S. 56th St. Ste. 121
Fort Smith, Arkansas 72903

Alzheimer's Association
Cheryl Bledsaw
320 N. Greenwood Ave.
Fort Smith, Arkansas 72901

Jackie Kursh
710 N. 8th St.
Fort Smith, Arkansas 72901

Kenny Rodin
P.O. Box 6751
Fort Smith, Arkansas 72906

Kathryn Howard
818 Belle Ave.
Fort Smith, Arkansas 72901

Gloria Arnold
3700 Morris Dr.
Fort Smith, Arkansas 72904

Rebekah Walton
3412 N. "Q" St.
Fort Smith, Arkansas 72904

Alexandria Altiner
Lincoln Child Care Center
1415 N. 9th St.
Fort Smith, Arkansas 72901

Scott Chambers
Fort Smith Housing Authority
2100 N. 31st St.
Fort Smith, Arkansas 72904

Jake Files
Foster, Files & Harris LLC
3203 Waco St.
Fort Smith, Arkansas 72901

Jackie Hamilton
2713 S. 74th St. Ste. 103
Fort Smith, Arkansas 72903

Cathy Brown
P.O. Box 7183
Van Buren, AR 72956

Reverend Steve Poarch
St. Paul's United Methodist Church
4100 Grand Ave.
Fort Smith, Arkansas 72904

Amy Bebout
St. John's
1804 Rannoch Tr.
Fort Smith, Arkansas 72908

Richard Griffin
CBID
P. O. Box 2207
Fort Smith, Arkansas 72902

Executive Director
The ARC for the River Valley
2414 S. 57th St. Ste. 101
Fort Smith, Arkansas 72903

Steven Mason
Accelerated Equity
1614 S. "Q" St.
Fort Smith, Arkansas 72903

Western AR Counsel & Guidance
3111 S. 70th St.
Fort Smith, Arkansas 72903

Appendix B – Public Involvement Process

Alan Harrison
Tribuilt Construction Group, LLC
P.O. Box 12021
Fort Smith, Arkansas 72917

Brian Hilts
Community Rescue Mission
310 N. "F" St.
Fort Smith, Arkansas 72904

Mr. Robert Miller
Miller Investments
11414 Hwy. 71 S.
Fort Smith, Arkansas 72916

Mr. Denny Flynn, Exec. Dir.
Kay Rodgers Park
P.O. Box 4145
Fort Smith, Arkansas 72914

Karen Hollenbeck
Saint Boniface Catholic Church
201 N. 19th St.
Fort Smith, Arkansas 72904

Brandon Woodrome
P.O. Box 2771
Fort Smith, Arkansas 72913

William B. Loyd
Abilities Unlimited
3305 Kibler Rd.
Van Buren, AR 72955-5513

Crisis Intervention Center
Nikki Babb
5603 S. 14th St.
Fort Smith, Arkansas 72901

Robert Thomas
3210 Phoenix Ave. Apt. "H"
Fort Smith, Arkansas 72901

Jennings, Matt

Distribution List Name: CDBG & HOME List 2011

All CDBG/HOME NOTICES for the Program Year 2011 Annual Action Plan were electronically sent to this e-mail list.

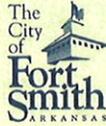
Matt Jennings

Members:

Alexandria Altiner	lincolnchild1@aol.com
Alsup, Mike	MAAlsup@FortSmithAR.gov
Amber Breazzeal	tarv1959@sbcglobal.net
April Moore	hearttoheartpsc@mynewroads.com
april@hhsafetycenter.org	april@hhsafetycenter.org
Becky Plaxco	bplaxco@fortsmithschools.org
Bill Loyd	bloyd@auivb.org
Brandon Woodrome	brandonwoodrome@gmail.com
Brenda Hook	brenda@goodsamaritanclinic.net
Brian Hillts	Mission.Director@sbcglobal.net
Bruce Singleton	lcwaexdir@sbcglobal.net
Calvin Williams - CSCDC, Inc.	cwilliams@cscdcaaa.org
Carol Justice	cjustice@fortsmithha.com
Carolyn Plank	cplank2@cox.net
Charleene White	lendahandfortsmith@att.net
Charlotte Sheraden	csheraden@warnersmith.com
Cindy Crawford	Cindy@treeoflifehealth.org
Clay Roper - Children's Emergency Shelter	clayroper@sbcglobal.net
Darrin Bercher	dbercher@fortsmithha.com
DeeDra Herschel	DLGreen@cscdcaaa.org
Elaine Burton	eburton@fortsmithha.com
Evan Breedlove	evan@goodsamaritanclinic.net
Hanna House c/o Jake Files	jake@ffhland.com
Herbert Norwood	herbnorwood@sbcglobal.net
Jack Moffett	jmoffett@bost.org
Jane Stewart	jstewart@fortsmithschools.org
Jay Poppe	avhfh@mynewroads.com
Jerry Glidewell	jglidewell@fsbgc.org
Julie Ellen - Children's Emergency Shelter	julie_ellen@sbcglobal.net
Karen Phillips	kphillips@cscdcaaa.org
Keith Bradley	kkab@netzero.net
Ken Pyle	kpyle@fortsmithha.com
Kent Jones	kjones@bost.org
Laurie Burnett (laurie@hhsafetycenter.org)	laurie@hhsafetycenter.org
Linda Gabriel	lgabe1111@aol.com
Lori Williams	executivedirector@foyadultdaycare.org
Louise Watts	pgiuffre@att.net
Machelle Fago	mfogo@rauschcoleman.com
Mark Whitmer	mwhitmer@cscdcaaa.org
Mary Alink	harborhouseefs@hotmail.com
Michael Tilley	mtilley@thecitywire.com
Nenya Perry	nperry@cscdcaaa.org
Next Step Day Room	integrity.gabriel@juno.com
Nikki Babb	nbabb@crisisinterventioncenter.org
Patti Logan	inherheart@netzero.net
Paula Patterson - Mother Earth's Community Garden	motherearthscommunitygarden@yahoo.com
Ragon Clements	rclements@ronaldragon.com
Rev. A. J. Parrish	a.j.parish@att.net
Rev. U. C. Washington	mumc1@sbcglobal.net
Rick Foti	rick.foti@csclearinghouse.org
Roy Thompson	rthompson@bost.org
Sally Fisher	sfisher@cscdcaaa.org
Savage, Ken	ksavage@FortSmithAR.gov
Scott Chambers	SChambers@fortsmithha.com
Susan Reehl	rsvp@ipa.net
T. J. Coker	tjcoker@aol.com

Appendix B – Public Involvement Process

Terry Chitwood - Crisis Intervention Center tchitwood@crisisinterventioncenter.org
Tom Minton tom.minton@unitedway.org
Wardell Henley - Northside Town Branch Group w.henley@hotmail.com



**Community Development Block Grant Program
HOME Investment Partnership Program**



January 18, 2011

To All of Our Fort Smith Community Partners:

We are pleased to announce that CDBG and HOME applications are available to be picked up for the program year 2011 funding cycle. Too often in the past we have heard comments at the application workshop that people do not have enough time to complete the application(s) because they are picking up the application at the workshop!

The applications are always ready when the notice is mailed to everyone on the Citizen Participation List and the next business day after the Legal Notice is published in the Times Record.

Do not wait --- when you read this notice come by our office or call and an application of the appropriate category(ies) will be sent to you by mail or this year it can be sent in a portable document file(pdf) to your email address. Please let us know what the project that the agency is contemplating applying for so we can be sure you get the correct category.

You should begin as soon as possible reviewing the application(s), gathering documentation and preparing as much of the application BEFORE the workshop. Another suggestion is to place the Community Development Schedule where you can readily review it or enter the dates into your computer system to keep track of the meetings and deadlines.

The purpose of the workshop is to provide technical assistance and to answer any questions you or others may have after reviewing and completing the application(s).

Thanks for your cooperation as we all look forward to starting the application process to serve our fellow citizens for Program Year 2011. Should you have any questions or comments, please don't hesitate to call Jamie Fout or me at 784-2209.

Sincerely,

Matt Jennings
Director of Community Development

623 Garrison Avenue - P.O. Box 1908 - Fort Smith, AR 72902 - 479-784-2209



**NOTICE OF FUNDING AVAILABILITY
CDBG and HOME Programs
Program Year 2011**

The City of Fort Smith Community Development Department will accept applications for Year 37 Community Development Block Grant Funds (\$882,365*), and for Year 18 HOME Investment Partnership Act Funds (\$525,519*) from Tuesday, January 18, 2011 through 4:00 p.m. Friday, February 18, 2011. Applications, also known as "Request for Proposals", must meet at least one of the strategies within the 2011-2015 Consolidated Plan established by the Fort Smith Board of Directors to meet the needs of our low to moderate income citizens.

*All potential applicants **must attend the appropriate workshop** on Wednesday, February 2, 2011 at 9:00 a.m. for the HOME Program and 10:30 a.m. for the CDBG Program located in the Oak Room of the Elm Grove Community Center located at 1901 Greenwood Avenue in Martin Luther King, Jr. Park. Pick up an application(s) anytime prior to the workshop. This will allow ample opportunity to begin reviewing, gathering documentation and preparing prior to the workshop.*

Public Hearings will be held by the Community Development Advisory Committee(CDAC) on Thursday, March 10, 2011 at 9:00 a.m., 2:00 p.m., and 5:30 p.m. at the Elm Grove Community Center - Oak Room located at 1901 Greenwood Avenue in Martin Luther King Park. The Fort Smith Board of Directors will hold a study session on Tuesday, March 22, 2011 at 12:00 Noon in the Community Room of the Main Library, 3201 Rogers Avenue. A Final Public Hearing will be held on Tuesday, April 5, 2011 at 6:00 p.m. in the Fort Smith School Service Center, 3205 Jenny Lind, Building "B." The purpose of these public hearings is to receive input on proposed funding requests, CDAC recommendations and CDBG/HOME performance.

The meeting locations are accessible. Should you have any questions, need interpreter services or other accommodations, please contact Jamie Fout, Community Development Coordinator or Matt Jennings, Director of Community Development at 479-784-2209, forty-eight (48) hours in advance of the meeting so that arrangements can be made. The City of Fort Smith is an Equal Opportunity Employer.

** These amounts are estimated because the federal government is operating on a continuing resolution. Once the federal budget has been adopted and the city has been notified of grant amounts for both programs, adjustments will be made, if necessary, prior to the final public hearing.*

Publish in Legal Notices Section of the Times Record on 1/18/2011 and 1/28/2011

Community Development Block
Grant Program and HOME
Investment Partnership Funds
City of Fort Smith

Mailed to
everyone on the
2011 Citizen
Participatory
Plan
1/25/2011

Application Workshop Reminder

Wednesday, February 2, 2011

9:00 AM - HOME Applicants ONLY

10:30 AM - CDBG Applicants ONLY

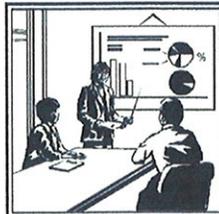
Location: Martin Luther King, Jr. Park

Elm Grove Community Center

1901 North Greenwood

Oak Room

An Agency Official is required to attend the workshop to be eligible to file an application requesting funds. The agency should request early and begin work on the application prior to the workshop.



**PUBLIC HEARING NOTICE
CDBG and HOME Programs
Program Year 2011**

Notice Mailed
to attached
addresses
3/30/2011
NG

The City of Fort Smith has accepted applications for Year 37 Community Development Block Grant Funds (\$674,715*), and for Year 18 HOME Investment Partnership Act Funds (\$522,299*). Each application has met at least one of the strategies within the 2011-2015 Consolidated Plan established by the Fort Smith Board of Directors to meet the needs of our low to moderate income citizens.

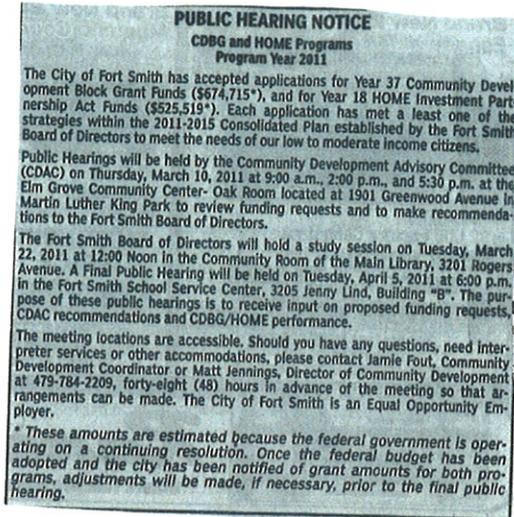
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The meeting location is accessible. Should you have any questions, need interpreter services or other accommodations, please contact Jamie Fout, Community Development Coordinator or Matt Jennings, Director of Community Development at 479-784-2209, forty-eight (48) hours in advance of the meeting so that arrangements can be made. The City of Fort Smith is an Equal Opportunity Employer.

** These amounts are estimated because the federal government is operating on a continuing resolution.*

Publish in Legal Notices Section of the Times Record on 3/29/2011

Cust:
Ad: 100530956
CDBG



I further certify that the legal notice hereto attached in the matter of:

#4107 PROGRAM YEAR 2011

Was published in the regular daily issue of said newspaper for consecutive insertions commencing on Friday the 4th day of March 2011 , and concluding on Friday the 4th day of March 2011 for the following dates:

03/04/11

for a total cost of: \$ 225.94

Radonna Taylor

Radonna Taylor

Sworn before me on the 8 day of

March, 2011



Johnnie L. Swain

Notary Public

My commission expires: 1-11-2013

Jennings, Matt

E-Mail Notice

From: Jennings, Matt
Sent: Tuesday, March 29, 2011 2:49 PM
To: Jennings, Matt
Cc: Fout, Jamie; Smith, David; Dobbs, Scot; Waters, Candyce
Subject: Public Hearing Notice

All names sent BCC



**PUBLIC HEARING NOTICE
CDBG and HOME Programs
Program Year 2011**

The City of Fort Smith has accepted applications for Year 37 Community Development Block Grant Funds (\$674,715*), and for Year 18 HOME Investment Partnership Act Funds (\$522,299*). Each application has met at least one of the strategies within the 2011-2015 Consolidated Plan established by the Fort Smith Board of Directors to meet the needs of our low to moderate income citizens.

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The meeting location is accessible. Should you have any questions, need interpreter services or other accommodations, please contact Jamie Fout, Community Development Coordinator or Matt Jennings, Director of Community Development at 479-784-2209, forty-eight (48) hours in advance of the meeting so that arrangements can be made. The City of Fort Smith is an Equal Opportunity Employer.

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Published in the Legal Notices Section of the Times Record on 3/29/2011

City of Fort Smith CDBG/HOME Public Hearing Attendance Sheet
 March 10, 2011; 9:00 A.M. - Elm Grove Community Center

Agency / Citizen Name	Agency Representative	
✓ Fountain of Youth	Kristi Graham	K
✓ C-SDC - DPA	Kerry Phillips	L, Q, R
✓ C-SDC - CHDO Reimb	Calvin Williams	L, Q, R
✓ C-SDC - CDBG Credit	Deedra Herschel	L, Q, R
✓ Good Samaritan Clinic	EMMA BREEDLOVE	C
✓ Hand a Hand, Inc.	Charlene White	P, T
✓ Hand a Hand, Inc.	W. C. [unclear]	P, T
✓ Clearinghouse	Rick Fott	D
✓ Mc Community Dental Clinic	Nancy Perry	M
✓ Rausch Cole [unclear]	Fred Rausch	P, T
✓ Lincoln Child Center	Cathy Cain	H
✓ Hannah House	Cindy Crawford	B, N
✓ Heart to Heart Pregnancy Support Center	Daphne [unclear]	G
✓ Best, Inc.	Jack Moffatt	O

City of Fort Smith CDBG/HOME Public Hearing Attendance Sheet
 March 10, 2011; 2:00 P.M. - Elm Grove Community Center

Agency / Citizen Name	Agency Representative
Arkansas Valley Habitat for Humanity	Jay Poppe

S

City of Fort Smith CDBG/HOME Public Hearing Attendance Sheet
 March 10, 2011; 2:00 P.M. - Elm Grove Community Center

Agency / Citizen Name	Agency Representative	
FSHA	KEN PRICE	U
FSHA	DARRIN BELCHER	
Linda Blair	DSDR	A-E-F
Jill Miller		

City of Fort Smith CDBG/HOME Public Hearing Attendance Sheet
 March 10, 2011; 5:30 P.M. - Elm Grove Community Center

Agency / Citizen Name	Agency Representative
WestArk RSUP	Susie Reehi
WestArk RSUP	Ardeline Marquette
Mannah House	Cindy Crawford
RSUP	Dol E Palmantoy
Heart to Heart Pregnancy Support Center	Daphne Dahlem
Fountain of Youth	Becky Hale
Kunta Khabon Fountain of Youth	Khaki
Young Women	RSUP
Lincoln Child Care Center	Cathy Bair
C-SUR	Kayla Rupp
NSDR	Linda Gabel
Heber Fountain of Youth ^{RSUP}	Jalen Holland
FSHA	DARRIN BERTHER
FSKA	KEN PYLLE
Community Personal Clinic	Nenya Perry
Heart to Heart Pregnancy Support	Theresa Reeves

Cust:
Ad: 100534339
CDBG

**PUBLIC HEARING NOTICE
CDBG and HOME Programs
Program Year 2011**

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The meeting location is accessible. Should you have any questions, need interpreter services or other accommodations, please contact Jamie Fout, Community Development Coordinator or Matt Jennings, Director of Community Development at 479-784-2209, forty-eight (48) hours in advance of the meeting so that arrangements can be made. The City of Fort Smith is an Equal Opportunity Employer.

* These amounts are estimated because the federal government is operating on a continuing resolution.

ION

that I am the Inside Sales Manager of the Times Record, a mailing privilege, and being not less than four pages of five of business and at fixed daily intervals continuously in the Arkansas, for more than a period of twelve months, circulated of business to subscribers and readers generally of all d, for a definite price for each copy, or a fixed price per annum, the value of the publication based upon the news service value of the subscribers thereto have paid cash for their subscription h recognized news dealers, over a period of at least six ned an average of more than forty percent news matter. The of Crawford, Franklin, Johnson, Logan, Polk, Scott and

notice hereto attached in the matter of:

C HEARING NOTICE

Was published in the regular daily issue of said newspaper for consecutive insertions commencing on Tuesday the 29th day of March 2011, and concluding on Tuesday the 29th day of March 2011 for the following dates:

03/29/11

for a total cost of: \$ 182.90

Radonna Taylor

Radonna Taylor

Sworn before me on the 20 day of

March, 20 11

Jennie L Swain

My commission expires: 1-11-2013



REVIEW AND COMMENT NOTICE

CDBG and HOME Programs

The Complete Annual Action Plan (AAP) for Program Year 2011, which also contains reprograms CDBG funds related to the Program Year 2010 AAP, will be available for review by any interested party at the Fort Smith Library, all Library Branches, the City Planning and Community Development Department and City Clerk's Office at the Stephens Building located at 623 Garrison Avenue, Room 331 between the hours of 8:00 a.m. and 5:00 p.m., Monday through Friday. Additionally, the plan will be accessible in a portable document file (pdf) by going to www.FortSmithAR.gov, go to Departments and Services and click on Community Development.

Information presented in the Annual Action Plan includes the grant funds anticipated to be received, the range of eligible activities that may be undertaken and the proposed projects to be included in the applications to be submitted to the HUD Little Rock Field Office on or about May 15, 2010. The range of funding requests include the construction of supportive housing, public service activities, agency interior building rehabilitation, public infrastructure to support an affordable housing subdivision, new single family home construction, housing assistance, the purchase of existing vacant single family home for rehabilitation then resale to income eligible households and home downpayment assistance.

Written Comments concerning the Annual Action Plan and/or reprogramming funds will be submitted to: Matt Jennings or Jamie Fout, Community Development Department, City of Fort Smith, P. O. Box 1908, Fort Smith, AR 72902 no later than 4:00 p. m. on Friday, May 13, 2011. Written comments received by that date will be submitted with the Annual Action Plan to the Department of Housing and Urban Development.



(Please include this EHO logo in all advertisements)

Publish in Legal Notices: April 14, 2011
 April 24, 2011

Appendix C – Project Selection Criteria

The Community Development Advisory Committee (CDAC) uses rating criteria for determining funding recommendations. A spreadsheet showing the total points from the rating criteria is attached. The rating system is a useful tool for CDAC members to determine funding in categories where there were many requests but few dollars for allocation. It is not a perfect system, and the public service category requires some subjective analysis to allocate funds. The criterion includes the applicant's experience performing the proposed activity, the organization's capacity to perform, the reasonable amount of the request, project implementation and financial feasibility of the project. Bonus points were awarded on the basis of: a) urgency or necessity of the project; b) variety of services provided by the organization; and c) duration of services provided. The applications are linked to the Objectives/Strategies/Goals of the adopted Five Year Consolidated Plan and the rating criteria. Following the rating documents is a blank copy of the form for the public service category. Each category has its' own unique rating form with minor adjustments based on the project type.

PROGRAM YEAR 2011 APPLICATION RATINGS SUMMARY BY CDAC MEMBERS														
0 = Abstain from Vote												Total		
												Points	Average	
Category 1 - CDBG Year 37														
Homelessness														
	Hall	Keaton-Martin	Norman	Rusin	Smith	Timmons	Willis							
A	Next Step Day Room - Supportive Housing	55.0	53.0	49.5	55.0	49.5	51.0	54.0	367	52.43				
B	Hannah House - Trans. Housing	30.5	53.0	34.5	30.0	30.5	50.0	30.5	259	37.00				
Category 2 - CDBG Year 37														
Public Service														
	Hall	Keaton-Martin	Norman	Rusin	Smith	Timmons	Willis							
C	Good Samaritan Clinic - Medical Services	55	54	53	55	55	55	52	379	54.14				
D	Community Service Clearinghouse	55	46	53	54	52	55	55	370	52.86				
E	Next Step Day Room - Esther Home Oper	55	55	55	55	54	53	55	382	54.57				
F	Next Step Day Room - Case Mgmt	55	55	55	54	55	54	55	383	54.71				
G	Heart to Heart Pregnancy Support Center	55	40	50	55	54	55	52	361	51.57				
H	Lincoln Childcare Center - Tuition	53	50	49	54	54	52	52	364	52.00				
I	WestArk RSVP - Medicare Counseling	53	54	45	55	54	51	52	364	52.00				
J	WestArk RSVP - VITA Tax Assistance	54	55	45	55	52	53	51	365	52.14				
K	Fountain of Youth - Client Fees	40	52	40	40	48	41	42	303	43.29				
L	CSCDC - Credit Counseling	55	53	52	54	51	49	52	366	52.29				
M	Community Dental Services	55	55	55	55	55	55	55	385	55.00				
Category 3 - CDBG Year 37														
Community Development														
	Hall	Keaton-Martin	Norman	Rusin	Smith	Timmons	Willis							
N	Hannah House - Agency Roof Replacement	29	24	25	28	32	37	35	210	30.00				
O	BOST, Inc. - Interior Rehabilitation	40	30	32	40	35	38	35	250	35.71				
P	Lend-A-Hand - Chaffee Crossing Infrastructure	40	30	33	38	31	35	35	242	34.57				
Category 4 - HOME Year 18														
HOME Investment Partnership Funds														
	Hall	Keaton-Martin	Norman	Rusin	Smith	Timmons	Willis							
Q	CSCDC - Homebuyers Assistance(DPA)	44	30	34	38	33	42	37	258	36.86				
R	CSCDC - CHDO - Acq/Rehab/Resale	45	35	37	45	34	42	35	273	39.00				
S	AR Valley Habitat for Humanity -Lot Acq	44	45	39	44	43	43	39	297	42.43				
T	Lend A Hand - CHDO - Chaffee Crossing Infra	44	44	32	44	36	41	35.5	276.5	39.50				
U	Fort Smith Housing Authority - New Const	42	40	38	42	42	40	42	286	40.86				

Funding Worksheet for CDBG HOMELESSNESS - Category 1 - Year 37

10-Mar-11

	<i>Applicant</i>	<i>Score</i>	<i>Funding Recommended</i>
A	Next Step Day Room - Supportive Housing	52.43	\$ (101,207.00)
B	Hannah House - Trans. Housing	37.00	\$ -
	ReAllocation of Year 36 \$32,236		\$ 32,236.00
	Next Step Day Room - Supportive Housing		\$ (32,236.00)
			Amount Available
			\$101,207.00
			\$ -

Funding Worksheet for CDBG Public Service - Category 2 - Year 37

10-Mar-11

	<i>Applicant</i>	<i>Score</i>	<i>Funding Recommended</i>
M	Community Dental Clinic	55.00	\$ (13,500.00)
F	Next Step Day Room - Case Mgmt.	54.71	\$ (16,650.00)
E	Next Step Day Room -Esther Home	54.57	\$ (4,500.00)
C	Good Samaritan Clinic-Medical Services	54.14	\$ (19,125.00)
D	Community Services Clearinghouse	52.86	\$ (6,800.00)
L	CSCDC - Credit Counseling	52.29	\$ (3,200.00)
J	WestArk RSVP - VITA Tax Assistance	52.14	\$ (8,000.00)
H	Lincoln Childcare Center- Tuition Fees	52.00	\$ (12,000.00)
I	WestArk RSVP - Medicare Counseling	52.00	\$ (6,000.00)
G	Heart to Heart - Baby Items	51.57	\$ (7,050.00)
K	Fountain of Youth - Client Fees	43.29	\$ (4,382.00)
			Amount Available
			\$ 101,207.00
			\$ -

Funding Worksheet for CDBG Community Development - Category 3 - Year 37
10-Mar-11

	<i>Applicant</i>	<i>Score</i>	<i>Funding Recommended</i>
O	Bost, Inc. - Interior Rehabilitation	35.71	\$ (26,000.00)
P	Lend-A-Hand - Chaffee Crossing Infrs	34.57	\$ (38,098.00)
N	Hannah House - Agency Roof	30.00	-
			Amount Available
			\$ 64,098.00
			\$ -

PROJECT RATING CRITERIA
PUBLIC SERVICE APPLICATIONS
COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM

Project Name: _____

Date: _____

Rating Scale 0-5 Points

1. **Experience of Applicant:**
Has the organization ever performed the proposed activity before and what were the results? Number of years? Does the organization have experience with Federal program funding? If applicable, the organization's track record regarding compliance will be reviewed. 1-5 points

Actual Points _____

2. **Capacity to Perform:**
Does the organization have qualified staff and the quantity of staff for all of the necessary functions associated with the proposed activity? Does the applicant have adequate administrative and fiscal structures in place to deal with guidelines (particularly record-keeping)? 1-5 Points

Actual Points _____

3. **Reasonable Amount of Request:**
The panel will review each applicant's cost benefit ratio. The cost benefit ratio is defined as the total CDBG request divided by the number of low to moderate income clients. Points will be awarded on the following basis:

\$1 - \$400	5 Points
\$401 - \$800	4 Points
\$801 - \$1200	3 Points
\$1201 - \$1600	2 Points
\$1601 - \$2000	1 Points
\$2001 or More	.5 Points

Actual Points _____

4. **Potential Project Implementation:**
Defined as being prepared to begin project at inception of program year, thus meeting the annual production/spending goal on a timely basis. Points shall be awarded on the following basis:

October Start Date	5 Points
January Start Date	3 Points
April Start Date	2 Points
July Start Date	0 Points

Actual Points _____

5. **Financial Feasibility:**

Applicants will be compared in terms of the ratio of all other funds committed to the project to CDBG funds. Funds leveraged (lower % of budget = higher rating).

100%	1 Point
75%	2 Points
50%	3 Points
25%	4 Points
Less than 25%	5 Points

Actual Points _____

Bonus Points

6. **Demonstrated Need for the Project:**

_____ Project fits into the city's priorities set out in compliance with the 5 year Consolidated Plan.

_____ Consideration is given to the number of persons to benefit and results to be achieved by the project.

_____ Consideration is also given applicants whose proposed service encompasses an unduplicated service.

Points shall be awarded on the following basis (Maximum 30 points):

Priority - 10 Points - Demonstration of need:

Based on the agency's project narrative, the urgency or necessity of the proposed project, is meeting a 5 Year Consolidated Plan need?

Points _____

Priority - 10 Points – Variety/Coordination of Services:

The focus should be on the effectiveness of the services provided to the clients, and the variety services coordinated between servicing agencies. Is the agency effectively providing an unduplicated service?

Points _____

Priority - 10 Points - Duration of Services:

How long has the agency provided the services, is this service Benefitting the greatest number of persons for the amount of the request, and is the agency able to continue providing the same amount of or more services.

Points _____

Total Bonus Points _____

Total All Actual Points _____

Revised 11/23/10

Appendix D – Project Selection Criteria

The City of Fort Smith has implemented plans to effect comprehensive monitoring of programs and activities described in the Consolidated Plan on an annual basis. Monitoring plans have also been developed for organizations and activities funded by the City to further activities in the Consolidated Plan.

The monitoring plan is directed to ensure:

- Program Performance
- Financial performance
- Regulatory compliance

The Community Development Department (CDD) is the primary entity for carrying out programs in the 2011 Action Plan. The CDD has procedures in place to monitor and evaluate work-in-progress, expenditures and beneficiaries of programs described in the Action Plan. The monitoring process will occur throughout the program year and those not meeting at least an “adequate” monitoring designation will be monitored on a quarterly basis until program administration is improved. Technical assistance may be provided.

If a subrecipient or CHDO receives more than \$25,000 from the CDBG or HOME program during the previous program year, the agency must provide an audit in accordance with OMB Circular A-133, “Audits of Institutions of Higher Education and Other Non-Profit Organizations” and OMB Circular A-133 Compliance Supplement 2010.

In each agreement is the following statement:

(The Agency Name) shall submit an agency-wide audit which shall include the project funds annually until the project is completed. This audit shall be in accordance with generally accepted accounting principles. (The Agency Name) shall provide the City with two (2) copies of each annual audit for project duration. Any deficiencies noted in the audit report shall be fully cleared by (The Agency Name) within thirty (30) days after receipt of said audit report by the City. Failure of (The Agency Name) to clear deficiencies noted in the audit report shall be a breach of this agreement and the City may exercise any and all of its rights and remedies under Section VIII, Remedies on Default (of the agreement).

HUD 424 Forms

CDBG and HOME

Certifications

APPLICATION FOR FEDERAL ASSISTANCE

1. TYPE OF SUBMISSION: Application <input checked="" type="checkbox"/> Construction <input type="checkbox"/> Non-Construction		2. DATE SUBMITTED	Applicant Identifier 2011 CDBG Entitlement Grant
<input type="checkbox"/> Pre-application <input type="checkbox"/> Construction <input type="checkbox"/> Non-Construction		3. DATE RECEIVED BY STATE	State Application Identifier
		4. DATE RECEIVED BY FEDERAL AGENCY	Federal Identifier
5. APPLICANT INFORMATION			
Legal Name: City of Fort Smith, Arkansas		Organizational Unit: Department: Community Development	
Organizational DUNS: 071253793		Division: Development Services	
Address: Street: 623 Garrison Avenue, Suite 331		Name and telephone number of person to be contacted on matters involving this application (give area code) Prefix: Mr. First Name: James	
City: Fort Smith		Middle Name: Matthew "Matt"	
County: Sebastian		Last Name: Jennings	
State: Arkansas	Zip Code: 72901	Suffix:	
Country: United States of America		Email: mjennings@fortsmithar.gov	
6. EMPLOYER IDENTIFICATION NUMBER (EIN): 71-6003637		Phone Number (give area code) 479-784-2209	Fax Number (give area code) 479-784-2462
8. TYPE OF APPLICATION: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision If Revision, enter appropriate letter(s) in box(es) (See back of form for description of letters.) Other (specify)		7. TYPE OF APPLICANT: (See back of form for Application Types) C. Municipal Other (specify)	
10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER: TITLE (Name of Program): Community Development Block Grant - Entitlement 14-218		9. NAME OF FEDERAL AGENCY: U. S. Department of Housing and Urban Development	
12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.): Fort Smith, Sebastian County, Arkansas		11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT: Community Development Block Grant - Entitlement; Activities by the City of Fort Smith, Arkansas to include homeless, public service and community development projects including housing assistance, new infrastructure for affordable housing and non-profit agency rehabilitation projects.	
13. PROPOSED PROJECT Start Date: 07/01/2011		14. CONGRESSIONAL DISTRICTS OF: a. Applicant Third, Arkansas	
Ending Date: 06/30/2012		b. Project Third, Arkansas	
15. ESTIMATED FUNDING:		16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?	
a. Federal	\$ 674,715 ⁰⁰	a. Yes. <input checked="" type="checkbox"/> THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON DATE: April 13, 2011	
b. Applicant	\$. ⁰⁰	b. No. <input type="checkbox"/> PROGRAM IS NOT COVERED BY E. O. 12372	
c. State	\$. ⁰⁰	<input type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW	
d. Local	\$. ⁰⁰	17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT?	
e. Other	\$ 1,342,793 ⁰⁰	<input type="checkbox"/> Yes If "Yes" attach an explanation. <input checked="" type="checkbox"/> No	
f. Program Income	\$ 48,000 ⁰⁰		
g. TOTAL	\$ 2,065,508 ⁰⁰		
18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT. THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.			
a. Authorized Representative			
Prefix Mr.	First Name Ray	Middle Name	
Last Name Gosack		Suffix	
b. Title City Administrator		c. Telephone Number (give area code) 479-784-2201	
d. Signature of Authorized Representative <i>Ray Gosack</i>		e. Date Signed April 12, 2011	

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Standard Form 424 (Rev.9-2003)
 Prescribed by OMB Circular A-102

APPLICATION FOR FEDERAL ASSISTANCE

1. TYPE OF SUBMISSION: Application <input checked="" type="checkbox"/> Construction <input type="checkbox"/> Non-Construction		2. DATE SUBMITTED	Applicant Identifier 2011 HOME - Participating Jurisdiction
<input type="checkbox"/> Pre-application <input type="checkbox"/> Construction <input type="checkbox"/> Non-Construction	3. DATE RECEIVED BY STATE		State Application Identifier
4. DATE RECEIVED BY FEDERAL AGENCY			Federal Identifier
5. APPLICANT INFORMATION			
Legal Name: City of Fort Smith, Arkansas		Organizational Unit: Department: Community Development	
Organizational DUNS: 071253793		Division: Development Services	
Address: Street: 623 Garrison Avenue, Suite 331		Name and telephone number of person to be contacted on matters involving this application (give area code) Prefix: Mr. First Name: James	
City: Fort Smith		Middle Name Matthew "Matt"	
County: Sebastian		Last Name Jennings	
State: Arkansas	Zip Code 72901	Suffix:	
Country: United States of America		Email: mjennings@fortsmithar.gov	
6. EMPLOYER IDENTIFICATION NUMBER (EIN): [7][1]-[6][0][0][3][6][3][7]		Phone Number (give area code) 479-784-2209	Fax Number (give area code) 479-784-2462
8. TYPE OF APPLICATION: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision If Revision, enter appropriate letter(s) in box(es) (See back of form for description of letters.)		7. TYPE OF APPLICANT: (See back of form for Application Types) C. Municipal Other (specify)	
10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER: TITLE (Name of Program): HOME Investment Partnership Act		9. NAME OF FEDERAL AGENCY: U. S. Department of Housing and Urban Development	
12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.): Fort Smith, Sebastian County, Arkansas		11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT: HOME Investment Partnership Act - The construction of affordable single family residential units and direct downpayment assistance for the purchase of single family residential units for low income person(s) or a low income family.	
13. PROPOSED PROJECT Start Date: 07/01/2011		14. CONGRESSIONAL DISTRICTS OF: a. Applicant Third, Arkansas	
Ending Date: 06/30/2012		b. Project Third, Arkansas	
15. ESTIMATED FUNDING:		16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?	
a. Federal	\$ 522,299. ⁰⁰	a. Yes <input checked="" type="checkbox"/> THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON DATE: April 13, 2011	
b. Applicant	\$. ⁰⁰	b. No <input type="checkbox"/> PROGRAM IS NOT COVERED BY E. O. 12372	
c. State	\$. ⁰⁰	<input type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW	
d. Local	\$. ⁰⁰	17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT?	
e. Other	\$ 2,150,228. ⁰⁰	<input type="checkbox"/> Yes If "Yes" attach an explanation. <input checked="" type="checkbox"/> No	
f. Program Income	\$ 44,000. ⁰⁰		
g. TOTAL	\$ 2,716,527. ⁰⁰		
18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT. THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.			
a. Authorized Representative			
Prefix Mr.	First Name Ray	Middle Name	
Last Name Gosack		Suffix	
b. Title City Administrator		c. Telephone Number (give area code) 479-784-2201	
d. Signature of Authorized Representative <i>Ray Gosack</i>		e. Date Signed April 12, 2011	

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Standard Form 424 (Rev. 9-2003)
 Prescribed by OMB Circular A-102

CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing -- The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential anti-displacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

Drug Free Workplace -- It will or will continue to provide a drug-free workplace by:

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
2. Establishing an ongoing drug-free awareness program to inform employees about --
 - (a) The dangers of drug abuse in the workplace;
 - (b) The grantee's policy of maintaining a drug-free workplace;
 - (c) Any available drug counseling, rehabilitation, and employee assistance programs; and
 - (d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will -
 - (a) Abide by the terms of the statement; and
 - (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

Specific CDBG Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570)

Following a Plan -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

1. **Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);
2. **Overall Benefit.** The aggregate use of CDBG funds including section 108 guaranteed loans during program year(s) , (a period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
3. **Special Assessments.** It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements. However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

Compliance With Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

Lead-Based Paint -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, subparts A, B, J, K and R;

Compliance with Laws -- It will comply with applicable laws.

Ray Gozack April 12, 2011
Signature/Authorized Official Date
City Administrator
Title

**OPTIONAL CERTIFICATION
CDBG**

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having a particular urgency as specified in 24 CFR 570.208(c):

The grantee hereby certifies that the Annual Plan includes one or more specifically identified CDBG-assisted activities which are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available to meet such needs.

Signature/Authorized Official Date

Title

Specific HOME Certifications

The HOME participating jurisdiction certifies that:

Tenant Based Rental Assistance -- If the participating jurisdiction intends to provide tenant-based rental assistance:

The use of HOME funds for tenant-based rental assistance is an essential element of the participating jurisdiction's consolidated plan for expanding the supply, affordability, and availability of decent, safe, sanitary, and affordable housing.

Eligible Activities and Costs -- it is using and will use HOME funds for eligible activities and costs, as described in 24 CFR § 92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in § 92.214.

Appropriate Financial Assistance -- before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing.


Signature/Authorized Official

April 12, 2011
Date

City Administrator
Title

APPENDIX TO CERTIFICATIONS

INSTRUCTIONS CONCERNING LOBBYING AND DRUG-FREE WORKPLACE REQUIREMENTS:

A. Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

B. Drug-Free Workplace Certification

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification.
2. The certification is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, HUD, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
3. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
4. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio stations).
5. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph three).
6. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

623 Garrison Avenue, Fort Smith, Sebastian County, Arkansas, 72901

Check X if there are workplaces on file that are not identified here.

The certification with regard to the drug-free workplace is required by 24 CFR part 24, subpart F.

7. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:

"Controlled substance" means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);

"Conviction" means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes;

"Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

"Employee" means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All "direct charge" employees; (ii) all "indirect charge" employees unless their impact or involvement is insignificant to the performance of the grant; and (iii) temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).